OWEN JOSEPH J

Form 4 June 02, 2011

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* OWEN JOSEPH J

2. Issuer Name and Ticker or Trading

Symbol

COLUMBUS MCKINNON CORP [CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director \_X\_\_ Officer (give title

10% Owner \_ Other (specify

140 JOHN JAMES AUDUBON

05/31/2011

below)

below) VP - Supply Chain Mgmt

**PARKWAY** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228

(City)	(State)	(Zip) Tab	le I - Non-	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	sed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock							10,460 (1)	D	
Common Stock	05/31/2011		M	10,000	A	\$ 10	20,460	D	
Common Stock	05/31/2011		M	7,500	A	\$ 5.46	27,960	D	
Common Stock	05/31/2011		S	500	D	\$ 19.36	27,460	D	
Common Stock	05/31/2011		S	1,120	D	\$ 19.37	26,340	D	

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Common Stock	05/31/2011	S	276	D	\$ 19.371	26,064	D
Common Stock	05/31/2011	S	424	D	\$ 19.38	25,640	D
Common Stock	05/31/2011	S	300	D	\$ 19.381	25,340	D
Common Stock	05/31/2011	S	500	D	\$ 19.39	24,840	D
Common Stock	05/31/2011	S	900	D	\$ 19.4	23,940	D
Common Stock	05/31/2011	S	100	D	\$ 19.405	23,840	D
Common Stock	05/31/2011	S	800	D	\$ 19.41	23,040	D
Common Stock	05/31/2011	S	100	D	\$ 19.415	22,940	D
Common Stock	05/31/2011	S	500	D	\$ 19.42	22,440	D
Common Stock	05/31/2011	S	100	D	\$ 19.421	22,340	D
Common Stock	05/31/2011	S	200	D	\$ 19.425	22,140	D
Common Stock	05/31/2011	S	1,490	D	\$ 19.43	20,650	D
Common Stock	05/31/2011	S	100	D	\$ 19.435	20,550	D
Common Stock	05/31/2011	S	2,750	D	\$ 19.44	17,800	D
Common Stock	05/31/2011	S	900	D	\$ 19.441	16,900	D
Common Stock	05/31/2011	S	200	D	\$ 19.445	16,700	D
Common Stock	05/31/2011	S	2,841	D	\$ 19.45	13,859	D
Common Stock	05/31/2011	S	1,533	D	\$ 19.46	12,326	D
Common Stock	05/31/2011	S	100	D	\$ 19.461	12,226	D
Common Stock	05/31/2011	S	100	D	\$ 19.4625	12,126	D
	05/31/2011	S	766	D	\$ 19.47	11,360	D

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Common Stock								
Common Stock	05/31/2011	S	700	D	\$ 19.49	10,660	D	
Common Stock	05/31/2011	S	200	D	\$ 19.5	10,460	D	
Common Stock						2,120.213 (2)	D	
Common Stock						1,327	I	By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A or Disposed (D) (Instr. 3, 4, and 5)	Expiration Da (Month/Day/Y	ite	7. Title and Amor Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Incentive Stock Options (Right to Buy)	\$ 10	05/31/2011		M	10,00	0 08/20/2002	08/19/2011	Common Stock	10
Incentive Stock Options (Right to Buy)	\$ 5.46	05/31/2011		M	7,50	05/17/2005	05/16/2014	Common Stock	7,
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	4,
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	3,

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

OWEN JOSEPH J 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP - Supply Chain Mgmt

### **Signatures**

Joseph J. Owen 06/02/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Includes 3,248 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 1,276 shares become fully vested and non-forfeitable 50%
- (1) for two years beginning 5/18/2012; 584 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, and the remaining 1,388 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Originally a 40,500 share stock option, reporting person exercised 10,500 options on 2/13/2006, 2,500 options on 4/11/2006, 5,000 options on 4/20/2006, 2,500 options on 2/14/2011, 10,000 options on 4/1/2011 and 10,000 options on 5/31/2011.
- (4) Originally a 30,000 share stock option, reporting person exercised 7,500 options on 7/28/2005, 7,500 options on 6/11/2007, 7,500 options on 2/08/2008 and 7,500 options on 5/31/2011.
- (5) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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