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Menzaghi Frederique Ph.D. Form 4 March 04, 2019 FORM 4

Check this box

if no longer

Section 16.

Form 4 or

obligations

Form 5

1(b).

Common

02/28/2019

subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1. Name and A Menzaghi H	Symbol	2. Issuer Name and Ticker or Trading Symbol			5. Relationship of Reporting Person(s) to Issuer		
			•	s, Inc. [CARA]	(Che	eck all applicable	e)
(Last)	(First) (N	Iiddle)3. Date of	Earliest Tr	ansaction			
		(Month/D	ay/Year)		Director		b Owner
C/O CARA	S, 02/28/20	02/28/2019			ve title Oth	er (specify	
INC., 4 ST.	A, 107				below)	nmont	
	TH FLOOR				SI VF-KC	search & Develo	opment
	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check		
	Filed(Mon	Filed(Month/Day/Year)			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
STAMFOR	RD, CT 06902				Person	More than One Ke	eporting
(City)	(State)	(Zip) Table	e I - Non-D	erivative Securities Acc	quired, Disposed o	of, or Beneficial	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if		on(A) or Disposed of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
					Following	(Instr. 4)	(Instr. 4)
				(A)	Reported		
				or	Transaction(s)		
			Code V	Amount (D) Price	(Instr. 3 and 4)		

Stock 17.5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S⁽¹⁾

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

D

142,779

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code V Amount (D) Price

D

1,500

\$

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3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber Expiration Date			unt of	Derivative	Deriv		
Security	or Exercise		any	Code	of	(Month/Day/Year)			rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secu		(Instr. 5)	Bene
	Derivative				Securities			(Instr	: 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						_			or		
						Date	Expiration	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		
					() (-)						
Reporting Owners											
nopol											
	Denerting	O No / Add-		Relationships							
	Keporting	Owner Name / Addr	ess								

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Reporting Owner Name / Address		Relationships					
reporting owner runne, runness	Director	10% Owner	Officer	Other			
Menzaghi Frederique Ph.D. C/O CARA THERAPEUTICS, INC. 4 STAMFORD PLAZA, 107 ELM ST, 9TH FLOOF STAMFORD, CT 06902	ł		Sr VP-Research & Development				
Signatures							
/s/Darren DeStefano, 03/04/20 Attorney-in-Fact)19						

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on November 19, 2018.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.