Ciminera J. Robert Form 4 February 26, 2019

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Common

Stock

02/24/2019

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ciminera J. Robert Issuer Symbol NCR CORP [NCR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 864 SPRING STREET NW 02/23/2019 below) below) **EVP Global Customer Services** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ATLANTA, GA 30308 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (D) or (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 9,381 02/23/2019 M A \$0 11,876 D (1) Stock Common 3,273 02/23/2019 F D 8,603 D (2) 28.96 Stock Common 46,886 \$0 A D 02/24/2019 M 55,489 (3) Stock Common 19,319 F D 02/24/2019 36,170 D Stock (4) 28.96

1.229

(5)

D

34,941

D

F

**OMB APPROVAL** 

3235-0287

January 31,

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OMB

Number:

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Common  $S^{(6)}$ 28.94 0 02/25/2019 34,941 D D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of ctionDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 (1)	02/23/2019		M		9,381 (1)	<u>(1)</u>	<u>(1)</u>	Common Stock	9,381
Restricted Stock Units	\$ 0 (3)	02/24/2019		M		46,886 (3)	(3)	(3)	Common Stock	46,886

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> 10% Owner Officer Other Director

Ciminera J. Robert 864 SPRING STREET NW ATLANTA, GA 30308

**EVP Global Customer Services** 

### **Signatures**

Laura J. Foltz, Attorney-in-Fact for J. Robert 02/26/2019 Ciminera

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Represents the conversion of restricted stock units that vested on February 23, 2019. The performance related conditions of these restricted stock units were satisfied on February 7, 2019.
- (2) These shares were withheld to cover tax withholding obligations when 9,381 previously reported restricted stock units vested on February 23, 2019.
- (3) Represents the conversion of restricted stock units that vested on February 24, 2019. The performance related conditions of these restricted stock units were satisfied on December 9, 2016.
- (4) These shares were withheld to cover tax withholding obligations when 46,886 previously reported restricted stock units vested on February 24, 2019.
- (5) These shares were withheld to cover tax withholding obligations when 2,495 previously reported restricted stock units vested on February 24, 2018.
- (6) This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 2, 2018.
- Represents the sale of 34,941 shares in three hundred-fifty transactions on February 25, 2019 ranging in price from \$28.67 to \$29.32 per share, resulting in a weighted average sale price of \$28.94 per share. The reporting person undertakes to provide NCR Corporation ("NCR"), any security holder of NCR, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.