Edgar Filing: KUSSMAN CRAIG - Form 4

KUSSMAN Form 4											
February 21, 2019 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington D.C. 20540							OMMISSION	OMB APPROVAL OMB 3235-0287 Number:			
Check th if no long subject to Section 1 Form 4 of Form 5 obligatio may com <i>See</i> Instr 1(b).	ger 50 16. 50 Filed p ^{ns} tinue. Section 1	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940								ires: January 31, ires: 2005 mated average den hours per bonse 0.5	
(Print or Type]	Responses)										
KUSSMAN CRAIG Sym OR				1				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 6275 NANC SUITE 110	(First) CY RIDGE DR	(Middle) IVE,		f Earliest T Day/Year) 2019	ransaction			Director X Officer (give below) Chief F		Owner er (specify er	
				onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
SAN DIEG	O, CA 92121						:	Form filed by Me Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Execution any		3. Transactic Code (Instr. 8) Code V	4. Securiti oror Disposi (Instr. 3, 4 Amount	ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/19/2019			F	16,215 (1)	D	\$ 1.0657	316,888 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
KUSSMAN CRAIG 6275 NANCY RIDGE DRIVE, SUITE 11 SAN DIEGO, CA 92121	0		Chief Financial Officer					
Signatures								
/s/ Jennifer Bush, as attorney-in-fact	02/21/2019							

**Signature of Reporting Person

5 S

Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the mandatory sale of shares to satisfy the Reporting Person's tax withholding obligations, in connection with the partial (1) vesting and settlement of restricted stock units granted in August 2016, June 2017 and May 2018, pursuant to the Reporting Person's agreement with the Issuer.

This number does not include 1,305,000 shares of common stock underlying outstanding options held by Mr. Kussman; post-transaction (2) Mr. Kussman continues to beneficially own or have a right to acquire 1,621,888 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.