## Edgar Filing: BERGER DAVID B - Form 4

BERGER D	AVID B									
Form 4										
February 20	, 2019									
FORM	ЛД								PPROVA	L
	UNITED	STATES			AND EXCHAN , D.C. 20549	NGE	COMMISSIO	N OMB Number:	3235-	0287
Check this box									Januar	-
if no longer subject to Section 16. Form 4 or							NERSHIP OF	Estimated	stimated average Irden hours per	
Form 5 obligation may corn <i>See</i> Insta 1(b).	ons Section 17(	a) of the F	Public U	tility Hol		Acto	ge Act of 1934, of 1935 or Section 1940	on		
(Print or Type	Responses)									
BERGER DAVID B Symb					d Ticker or Trading	5. Relationship o Issuer	ip of Reporting Person(s) to			
					BETES CARE I	INC	C (Check all applicable)			
					e of Earliest TransactionDirector h/Day/Year)			te title 10% Owner Other (specify below)		
	DEM DIABETES 75 ROSELLE STI		02/15/2	2019			below) EVP, GEN. C	OUNSEL & SE	CRETAR	Y
(Street) 4. If Amendm Filed(Month/D				Ionth/Day/Year) Applicable Line)				oint/Group Filing(Check One Reporting Person		
SAN DIEG	60, CA 92121							More than One R		
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative Securit	ties Ac	equired, Disposed	of, or Beneficia	lly Ownee	ł
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution I any	Date, if	Code (Instr. 8)	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficia Ownersh (Instr. 4)	ıl
				Code V	. ,	Price				
Reminder: Re	port on a separate line	for each cla	ass of sec	urities bene	Persons who information required to r	o resp conta respo	r indirectly. bond to the colle lined in this form nd unless the fo tly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab	le II - Deriv	ative Sec	urities Acq	uired, Disposed o	of, or I	Beneficially Owned	1		

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D

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Security (Instr. 3)	or Exercise Price of Derivative Security		any Code Securities (Month/Day/Year) (Instr. 8) Acquired (A) Disposed of (I (Instr. 3, 4, an 5)		f (D)	)		(Instr. 3 and 4)		Se (I	
				Code V	7 (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option $(1)$	\$ 51.5	02/15/2019		А	105,000		(2)	<u>(3)</u>	Common Stock	105,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
BERGER DAVID B C/O TANDEM DIABETES CARE, INC., 11075 ROSELLE STREET SAN DIEGO, CA 92121			EVP, GEN. COUNSEL & SECRETARY			
Signatures						
/s/ David B. 02/20/2019						

\*\*Signature of Date Reporting Person

Berger

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to the Tandem Diabetes Care, Inc. 2013 Stock Incentive Plan. The grant is contingent upon future stockholder approval of an increase in the number of shares reserved for issuance under the 2013 Stock Incentive Plan.
- (2) The option shall vest as to twenty-five percent (25%) of the underlying shares on 2/15/2020, and the remaining shares shall vest in thirty-six (36) equal monthly installments thereafter.
- (3) The expiration date for these options is ten (10) years from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.