

Gerspach John C  
Form 4  
May 18, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Gerspach John C

(Last) (First) (Middle)

C/O CORPORATE LAW DEPT,  
CITIGROUP INC., 153 EAST 53RD  
STREET, 19TH FLOOR

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

CITIGROUP INC [C]

3. Date of Earliest Transaction  
(Month/Day/Year)

05/14/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/14/2018		G	V	12,966 <sup>(1)</sup>	D	\$ 0	0 <sup>(2)</sup>	I	2016 GRAT 1
Common Stock	05/14/2018		J <sup>(1)</sup>		12,966	A	\$ 0	12,966	I	By Trusts
Common Stock	05/16/2018		S		12,966	D	\$ 72.31 <sup>(3)</sup>	0	I	By Trusts
Common Stock								254,256.12 <sup>(2) (4) (5)</sup>	D	
								25,952	I	

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Common Stock				2016 GRAT 2
Common Stock	24,886 <sup>(4)</sup>	I		2017 GRAT 1
Common Stock	30,000	I		2017 GRAT 2
Common Stock	35,000 <sup>(5)</sup>	I		2018 GRAT 1

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

Gerspach John C  
C/O CORPORATE LAW DEPT, CITIGROUP INC.  
153 EAST 53RD STREET, 19TH FLOOR  
NEW YORK, NY 10022

### Relationships

Director 10% Owner Officer Other

Chief Financial Officer

## Signatures

John C. Gerspach by Joseph B. Wollard,  
Attorney-in-Fact

05/18/2018

                    Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On May 14, 2018 the Reporting Person made a gift of 12,966 shares of the Issuer's common stock from the 2016 GRAT 1 to trusts for the benefit of his children. The Reporting Person's wife is the trustee of each of the trusts.

(2) On May 14, 2018 the Reporting Person transferred 11,967 shares of the Issuer's common stock to his direct ownership as a required annuity distribution from the 2016-1 GRAT. As a consequence of the gift of 12,966 shares of the Issuer's common stock reported in Footnote 1 and the distribution of 11,967 shares of the Issuer's common stock reported in Footnote 2, the 2016-1 GRAT no longer owns any shares of the Issuer's common stock.

(3) This price represents the average sale prices for multiple transactions reported on this line. The prices of the transactions reported on this line ranged from USD \$72.30 to USD \$72.32. Upon request by the SEC staff, the Issuer or a security holder of the Issuer, the Reporting Person will undertake to provide full information regarding the number of shares and prices at which the transactions were effected.

(4) On May 14, 2018 the Reporting Person transferred 15,114 shares of the Issuer's common stock to his direct ownership as a required annuity distribution from the 2017-1 GRAT.

(5) On May 16, 2018 the Reporting Person transferred 35,000 shares of the Issuer's common stock to the 2018-1 GRAT, of which he is the trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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