Edgar Filing: Deeg Mark Albert - Form 4

Deeg Mark Form 4										
January 05, FORN	ЛЛ								PPROVAL	
	• UNITED	STATES		RITIES A Ashington			E COMMISSIO	N OMB Number:	3235-0287	
Check th if no lon	nger			U U				Expires:	January 31, 2005	
subject to Section 16. Form 4 or						ICIAL O	WNERSHIP OF	Estimated burden hou response	average urs per	
Form 5 obligatio may con <i>See</i> Instr 1(b).	ons Section 17(a) of the P	ublic U	Jtility Hol	ding Co		nge Act of 1934, of 1935 or Secti 940			
(Print or Type	Responses)									
1. Name and A Deeg Mark	Address of Reporting Albert		Symbol	er Name an o 18 Therape		-	5. Relationship o Issuer			
(Last)	c .			of Earliest T		(Cho	Check all applicable)			
C/O REGU THERAPE CENTER I	UTICS, 10614 SC		(Month/ 01/05/2	Day/Year) 2018			Director X Officer (gi below) Chie		% Owner her (specify eer	
(Street)			4. If Amendment, Date Original			ıl	6. Individual or Joint/Group Filing(Check			
SAN DIEG	60, CA 92121		Filed(Mo	onth/Day/Yea	r)		Applicable Line) _X_ Form filed by Form filed by Person	One Reporting P More than One R		
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution I any	Date, if	3. Transactio Code (Instr. 8)	Disposed	(A) or of (D) 4 and 5) (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: Re	port on a separate line	e for each cla	ss of sec	urities bene	ficially ow	ned directly	or indirectly.			
					inforr requi	nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible	Beneficially Owner securities)	d		
1 Tide of () 2	action Deta	24 D-	amad	4	5 March 4	of 6 Data Evan		7 Title and Am	

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	iorDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) Disposed of ((Instr. 3, 4, a) 5)	(D)		(Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 1.24	01/05/2018		A	175,000		(1)	01/04/2028	Common Stock	175,000

Reporting Owners

Reporting Owner Name / Address		1		
	Director	10% Owner	Officer	Other
Deeg Mark Albert C/O REGULUS THERAPEUTICS 10614 SCIENCE CENTER DR. SAN DIEGO, CA 92121			Chief Medical Officer	
Signatures				
Christopher Aker Attorney				

Christopher Aker, Attorney 01/05/2018 in Fact

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) The option will vest in forty-eight equal monthly installments, such that the option will be fully vested four years from the date of grant, subject to the Reporting Person's continuous service to us.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.