

BELTRAN CLEMENTE
Form 4
October 04, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BELTRAN CLEMENTE

2. Issuer Name and Ticker or Trading Symbol
DIODES INC /DEL/ [DIOD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
4949 HEDGCOXE ROAD, SUITE 200

3. Date of Earliest Transaction (Month/Day/Year)
10/02/2017

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
Vice President

(Street)
PLANO, TX 75024

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|----------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Diodes Incorporated Common Stock | 10/02/2017 | | M ⁽¹⁾ | | 12,000 | A | \$ 21.83 37,775 |
| Diodes Incorporated Common Stock | 10/02/2017 | | S ⁽²⁾ | | 11,208 | D | \$ 30 26,567 |
| Diodes Incorporated Common Stock | 10/02/2017 | | M ⁽¹⁾ | | 6,000 | A | \$ 19.27 32,567 |

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| | | | | | | | | |
|--|------------|------------------|-------|---|-------|--------|---|--------------------------------|
| Diodes Incorporated Common Stock | 10/02/2017 | S ⁽²⁾ | 5,480 | D | \$ 30 | 27,087 | D | |
| Diodes Incorporated Common Stock | 10/02/2017 | S ⁽²⁾ | 1,000 | D | \$ 30 | 11,613 | I | Owned By Spouse ⁽³⁾ |
| Diodes Incorporated Common Stock - Performance Stock Units | | | | | | 3,797 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Underlying Securities | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Underlying Securities |
| | | | | | | Code | V | (A) | (D) |
| 01/03/12 DIOD NQSO | \$ 21.83 | 10/02/2017 | | M ⁽¹⁾ | 12,000 | 01/01/2013 ⁽⁴⁾ | 01/03/2022 | Diodes Incorporated Common Stock - Diodes | 12,000 |
| 05/21/12 DIOD NQSO | \$ 19.27 | 10/02/2017 | | M ⁽¹⁾ | 6,000 | 05/26/2013 ⁽⁵⁾ | 05/21/2022 | Diodes Incorporated Common Stock - Diodes | 6,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------|-------|
| | Director | 10% Owner | Officer | Other |
| BELTRAN CLEMENTE 4949 HEDGCOXE ROAD SUITE 200 PLANO, TX 75024 | | | Vice President | |

Signatures

| | |
|---|---------------------|
| Richard D. White as Power of Attorney for Clemente Beltran | 10/04/2017 |
| <small>**Signature of Reporting Person</small> | <small>Date</small> |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercised under a 10b5-1 plan.
- (2) Sold under a 10b5-1 plan.
- (3) The amount of securities beneficially owned includes both restricted stock units and common stock.
- (4) Non-qualified stock options exercisable in four equal annual installments beginning 1/1/2013.
- (5) Non-qualified stock options exercisable in four equal annual installments beginning 05/26/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.