Delphi Automotive PLC Form 4 February 22, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction 30(h) of the Investment Company Act of 1940

1(b).

Ordinary

Ordinary

Ordinary

Shares

**Shares** 

Shares

02/17/2017

02/22/2017

02/22/2017

(Print or Type Responses)

Butterworth Liam Symbo				5. Relationship of Reporting Person(s) to Issuer			
	Delph	ni Automotive PLC [I	DLPH]	(Check all applicable)			
(Last) (First) (	(Middle) 3. Date	e of Earliest Transaction					
5725 DELPHI DRIVE	n/Day/Year) 1/2017		Director 10% Owner Sylvary Officer (give title Other (specify below) below)  SVP & President, Powertrain				
(Street)	mendment, Date Original		6. Individual or Joint/Group Filing(Check				
TROY, MI 48070	Filed(N	Month/Day/Year)		Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)	(Zip) Ta	able I - Non-Derivative S	Securities Acqu	ired, Disposed of,	or Beneficial	y Owned	
1.Title of Security (Month/Day/Year) (Instr. 3)	e 2A. Deemed Execution Date, if any (Month/Day/Year)	Transactiomr Disposed Code (Instr. 3, 4 a) (Instr. 8)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Ordinary Shares 02/17/2017		X 628	A \$ 0 (1)	92,806	D		
Ordinary Shares 02/17/2017		A 8,152	A \$ 0 (2)	100,958	D		

F

 $S^{(4)}$ 

 $S^{(4)}$ 

10,948 D

D

D

12,533

1,467

\$ 75.46

75.8943

76.4309

(3)

\$

(5)

\$

90,010

77,477

76,010

D

D

D

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(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D	Oate Exercisable and of Underlying Securities (Instr. 3 and 4)		ng	8. Pric Deriva Securit (Instr.
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Right	<u>(1)</u>	02/17/2017	X	628	<u>(1)</u>	<u>(1)</u>	Ordinary Shares	628	\$ (

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Butterworth Liam 5725 DELPHI DRIVE TROY, MI 48070

SVP & President, Powertrain

### **Signatures**

/s/ Rachel V. Friedenberg, Attorney-in-fact for Liam Butterworth

02/22/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Settlement of dividend equivalent rights in connection with the vesting of restricted stock units. The rights accrued when and as dividends (1) were paid on the Issuer's ordinary shares and vested proportionately with the restricted stock unit to which they related. Each dividend equivalent right is the economic equivalent of one ordinary share.

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- (2) Additional ordinary shares acquired in connection with achievement in excess of target of specified performance criteria for the 2014-2016 performance period.
- (3) Shares withheld to pay tax liabilities incident to the vesting of restricted stock units and settlement of dividend equivalent rights.
- (4) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 9, 2016.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$75.41 to \$76.40, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$76.41 to \$76.49, inclusive. The reporting person undertakes to provide the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.