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VONAGE HOLDINGS CORP

Form 3

December 12, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

RUTHERFORD RANDY K.

(Last)

(First)

(Middle)

(Month/Day/Year)

12/01/2016

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

VONAGE HOLDINGS CORP [VG]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Interim Chief Legal Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O VONAGE HOLDINGS CORP., Â 23 MAIN STREET

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

HOLMDEL, NJÂ 07733

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

Ownership Form of Derivative Security:

5.

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Expiration Exercisable Date

Title Amount or Number of

Shares

Derivative Security

Direct (D) or Indirect (I)

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						(Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	04/01/2023	Common Stock	19,275	\$ 2.88	D	Â
Restricted Stock Unit	(2)	(2)	Common Stock	44,291	\$ 0	D	Â
Restricted Stock Unit	(3)	(3)	Common Stock	16,480	\$ 0	D	Â
Restricted Stock Unit	(4)	(4)	Common Stock	8,297	\$ 0	D	Â
Restricted Stock Unit	(5)	(5)	Common Stock	4,883	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 9	Director	10% Owner	Officer	Other		
RUTHERFORD RANDY K. C/O VONAGE HOLDINGS CORP. 23 MAIN STREET HOLMDEL, NJ 07733	Â	Â	Interim Chief Legal Officer	Â		

Signatures

/s/ Randy K.
Rutherford

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vests on April 1, 2017.
- (2) The restricted stock unit vests in equal annual installments on the first through third anniversaries of March 15, 2016.
- (3) The restricted stock unit vests in equal installments on March 16, 2017 and March 16, 2018.
- (4) The restricted stock unit vests on March 17, 2017.
- (5) The restricted stock unit vests on April 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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