

TTM TECHNOLOGIES INC  
Form 4  
August 01, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ALDER KENTON K

2. Issuer Name and Ticker or Trading Symbol  
TTM TECHNOLOGIES INC  
[TTMI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

1665 SCENIC AVENUE, SUITE 250

07/19/2016

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

COSTA MESA, CA 92626

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (A) or (D) Price   |   |  |                                   |
| Common Stock                    | 07/19/2006                           |  | G                              | V 89,562 (1) D \$ 0   | 35,267  | D  |                                   |
| Common Stock                    | 07/28/2016                           |  | M                              | 4,323 (2) A \$ 5.78   | 39,590  | D  |                                   |
| Common Stock                    | 07/28/2016                           |  | S                              | 4,323 (3) D \$ 9.5  | 35,267  | D  |                                   |
| Common Stock                    | 07/29/2016                           |  | M                              | 12,500 (2) A \$ 7.85  | 47,767  | D  |                                   |
| Common Stock                    | 07/29/2016                           |  | S                              | 12,500 (3) D \$ 10  | 35,267  | D  |                                   |

|              |            |  |   |   |                      |   |       |         |   |  |
|--------------|------------|--|---|---|----------------------|---|-------|---------|---|--|
| Common Stock | 07/19/2016 |  | G | V | <u>89,562</u><br>(1) | A | \$ 0  | 294,116 | I | By Kenton K. Alder Family Living Trust |
| Common Stock | 07/29/2016 |  | S |   | <u>20,000</u><br>(3) | D | \$ 10 | 274,116 | I | By Kenton K. Alder Family Living Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (Right to Buy)       | \$ 5.78  | 07/28/2016                           |  | M                              | 4,323   | <u>(4)</u> 02/12/2019                                    | Common Stock 4,323  |
| Employee Stock Option (Right to Buy)       | \$ 7.85  | 07/29/2016                           |  | M                              | 12,500  | <u>(5)</u> 05/07/2019                                    | Common Stock 12,500   |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
|                                |               |           |         | X     |

ALDER KENTON K  
1665 SCENIC AVENUE  
SUITE 250  
COSTA MESA, CA 92626

## Signatures

/s/ Daniel J. Weber,  
Attorney-in-Fact

08/01/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the transfer of shares to the Kenton K. Alder Family Living Trust.
- (2) The options were exercised pursuant to a 10b5-1 Plan.
- (3) The shares were sold pursuant to a 10b5-1 Sales Plan.
- (4) One-third of the options vested and became exercisable on the first, second, and third anniversaries of the date of grant, beginning on February 12, 2010.
- (5) One-third of the options vested and became exercisable on the first, second and third anniversaries of the date of grant, beginning on May 7, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.