Edgar Filing: GENOMIC HEALTH INC - Form 4

| GENOMIC | HEALTH INC | | | | | | | | | | |
|---|--|---|-------------------------------|-------------------------------------|--------------|-----------|----------------------------|---|----------------------------|-------------|--|
| Form 4 | | | | | | | | | | | |
| February 17, | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | PROVAL | | | |
| | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | OMB Number: | 3235-0287 | | |
| Check th | is box | | vv a | sinington, | D.C. 20. | 547 | | | | January 31, | |
| if no long | | MENT O | F CHAN | IGES IN | BENEFI | CIA | LOWN | VERSHIP OF | Expires: | 2005 | |
| subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OV SECURITIES | | | | | | | | Estimated average burden hours per | | | |
| Form 4 o | | | | | | | | | response 0.5 | | |
| Form 5 | Filed pu | rsuant to S | Section 1 | 6(a) of th | e Securiti | ies E | xchange | e Act of 1934, | • | | |
| obligatio may cont | | (a) of the | Public U | tility Hold | ding Com | ipany | Act of | 1935 or Section | ı | | |
| See Instr | | 30(h) | of the In | vestment | Company | y Act | t of 194 | 0 | | | |
| 1(b). | | | | | | | | | | | |
| (Print or Type I | Desponses) | | | | | | | | | | |
| (I fint of Type I | ((csponses) | | | | | | | | | | |
| 1. Name and A | Address of Reporting | Person [*] | 2. Issue | r Name and Ticker or Trading | | | | 5. Relationship of Reporting Person(s) to | | | |
| Pla Frederic Symbol | | | Traine and Tieker of Training | | | | Issuer | | | | |
| | | | GENO | MIC HEA | LTH INC | C [GI | HDX] | (Chara) | 111:h1- | ` | |
| (Last) | (First) | (Middle) | 3. Date of | f Earliest Tı | ansaction | | | (Check | c all applicable |) | |
| | | | (Month/I | | | | | Director 10% Owner | | | |
| 301 PENOE | BSCOT DR. | | 02/15/2 | 016 | | | | X Officer (give below) | title Othe below) | er (specify | |
| | | | | | | | | · · · · · · · · · · · · · · · · · · · | & Prod Dev O | fficer | |
| | (Street) | | 4. If Ame | endment, Da | ate Original | | | 6. Individual or Jo | int/Group Filin | g(Check | |
| | | | | nth/Day/Year | - | | | Applicable Line) | | 0(| |
| | | | | | | | | _X_ Form filed by O | | | |
| REDWOOI | D CITY, CA 940 | 63 | | | | | | Form filed by M Person | ore than One Ke | porting | |
| (City) | (State) | (Zip) | Tab | le I - Non-F |)erivative (| Securi | ties A cau | uired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of | 2 Transaction Dat | a 24 Daar | | | | | - | | | - | |
| Security | 2. Transaction Dat (Month/Day/Year) | ned 3. 4. Securities Acquired n Date, if Transaction(A) or Disposed of (D) | | | | | 5. Amount of Securities | 6. Ownership | 7. Nature of Indirect | | |
| (Instr. 3) | | any | | Code (Instr. 3, 4 and 5) | | | | | Form: Direct Beneficial | Beneficial | |
| | | (Month/I | Day/Year) | (Instr. 8) | | | | Owned | (D) or | Ownership | |
| | | | | | | | | Following Reported | Indirect (I) (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) or | | Transaction(s) | | | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common | 02/15/2016 | | | F | 1,580 | D | \$ | 15,068 (1) | D | | |
| Stock | 02/13/2010 | | | 1 | 1,500 | D | 26.53 | 15,000 (** | D | | |
| Common | | | | | 10,550 | | . . . | | D | | |
| Stock | 02/16/2016 | | | А | (2) | А | \$0 | 25,618 <u>(3)</u> | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of prDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration (Month/Da | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|-------------------------|--|-----------------|---|--|
| | | | | Code V | (A) (I | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Employee Stock Option (right to buy) Holding | \$ 27 | 02/16/2016 | | A | 61,700 | <u>(4)</u> | 02/16/2026 | Common Stock | 61,700 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|------------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Pla Frederic 301 PENOBSCOT DR. REDWOOD CITY, CA 94063 | | | Chief Bus & Prod Dev Officer | | | | |
| Signatures | | | | | | | |
| /s/ Jason W. Radford, Attorney-in-fact | 02/1 | 7/2016 | | | | | |
| **Signature of Reporting Person | Γ | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes an aggregate of 7,818 shares of common stock issuable pursuant to previously reported restricted stock units that have not vested.
- (2) Restricted stock units awarded on February 16, 2016 which vest as to 1/3 of the shares on each of February 15, 2017, 2018 and 2019.
- (3) Includes an aggregate of 18,318 shares of common stock issuable pursuant to restricted stock units that have not vested.
- (4) The option becomes exercisable as to 25% of the shares on February 16, 2017, and becomes exercisable as to 1/48th of the shares each full month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.