Edgar Filing: Energous Corp - Form 4

Energous Corp	р											
Form 4												
July 01, 2015	-										PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION									FFNOVAL			
						D.C. 205				Number:	3235-0287	
Check this	sbox									Expires:	January 31,	
subject to				GES IN BENEFICIAL OW				LOW	NERSHIP OF	Estimated a	2005 average	
Section 16		SECURITIES							burden hours per			
Form 4 or Form 5	Filed pure	uant to Sect	tion 16	$\delta(a)$ of t	ha	Securiti	oc Fr	zehand	ge Act of 1934,	response	0.5	
obligations	Section 17(a							•	of 1935 or Sectio	n		
may contin See Instruc	iue.	30(h) of t		•		•	- •					
1(b).						1.						
(Print or Type Re	esponses)											
1. Name and Address of Reporting Person [*] _2. Issuer Name and Ticker or Trading 5. Relationship of							Reporting Person(s) to					
Gaulding John Symbol								8	Issuer			
				gous Corp [WATT]					(Check all applicable)			
(Last)	(First) (M	(iddle) 3. I	Date of	Earliest 7	Trai	nsaction			(Chee	.k all applicable	-)	
(Month/Da				nth/Day/Year)					_X_ Director		6 Owner	
			/30/20	/30/2015					Officer (give title Other (specify below) below)			
SUITE 210												
				Amendment, Date Original Month/Day/Year)					6. Individual or Joint/Group Filing(Check			
									Applicable Line) _X_ Form filed by One Reporting Person			
SAN JOSE, O	CA 95134								Form filed by M Person			
(City)	(State) (7in)										
(City)	(State) (Zip)	Table	e I - Non-	-De	rivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Date 2A. Deemed								5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
Security (Instr. 3)	(Month/Day/Year)	n Date, if TransactionAcquired (A) or Code Disposed of (D)						(D) or	Beneficial			
		(Month/Day	· · ·			5)	Owned	Indirect (I)	Ownership			
									Following Reported	(Instr. 4)	(Instr. 4)	
							(A) or		Transaction(s)			
				Code	v	Amount		Price	(Instr. 3 and 4)			
Common	06/30/2015			А		29 <u>(1)</u>	A	\$0	29	D		
Stock						_		, .				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Title and <i>J</i> Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secur (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Performance Share Units	(2)	06/30/2015	А	29	(3)	(3)	Common Stock	29	\$

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Gaulding John 3590 NORTH FIRST STREET, SUITE 210 SAN JOSE, CA 95134	Х					
Signatures						
/a/ John Gaulding by Patrick J. Rogers, attorney-in-fact	07/01/2015					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents shares of common stock received upon vesting of a performance share unit award granted on May 21, 2015 pursuant to the

- (1) Company's 2015 Performance Share Unit Plan and partially earned on June 30, 2015 based on the satisfaction of certain performance-based vesting requirements. The performance share units vest and settle on June 30, 2015.
- (2) Each performance share unit represents a contingent right to receive one share of common stock.
- Represents a performance share unit award granted on May 21, 2015 pursuant to the Company's 2015 Performance Share Unit Plan and
- (3) partially earned on June 30, 2015 based on the satisfaction of certain performance-based vesting requirements. The performance share units vest on December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.