### Edgar Filing: Energous Corp - Form 4

Energous Co Form 4 April 08, 201 <b>FORN</b> Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	15 <b>1 4</b> UNITED S is box ger 6. or Filed pur sinue. uction	<b>IENT OF C</b> suant to Sec a) of the Pub	Washington, CHANGES IN SECUR tion 16(a) of th	D.C. 209 BENEFI ATTIES e Securiti ding Com	549 CIAI ies Ex ipany	L <b>OW</b> chang Act of	e Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	•	
Johnston Cesar Syr			2. Issuer Name <b>and</b> Ticker or Trading Symbol Energous Corp [WATT]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(1			3. Date of Earliest Transaction (Month/Day/Year) 04/06/2015				Director 10% Owner X Officer (give title Other (specify below) below) Senior VP of Engineering			
SAN JOSE,	If Amendment, Da led(Month/Day/Year	nendment, Date Original onth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
(City)		(Zip)	Tabla I Non I	)omizatiza (	Soonni	tion A on	Person	f or Ponoficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed	3. ate, if Transaction Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership 7. Nati Form: Direct Indirect (D) or Benefi Indirect (I) Owner	7. Nature of	
Common Stock	04/06/2015		M	20,000 (1)	A (D)	\$ 0	27,000	D		
Common Stock	04/07/2015		S <u>(2)</u>	7,900 (3)	D	\$ 8.81 (4)	19,100	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	tive Expiration Date (Month/Day/Year) ed (A) posed of		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock units	<u>(5)</u>	04/06/2015		М	20,000	(6)	(6)	Common Stock	20,000	

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Johnston Cesar 3590 NORTH FIRST STREET, SUITE 210 SAN JOSE, CA 95134			Senior VP of Engineering			
Signatures						

/s/ Cesar Johnston by Patrick R. Rogers, attorney-in-fact

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock received upon settlement and delivery of a restricted stock unit award.

The reporting person's sale of Energous common stock reported herein was matchable under Section 16(b) of the Securities Exchange Act of 1934, to the extent of 7,000 shares, with the reporting person's purchase of 7,000 shares of Energous common stock at a price of \$7.00

04/08/2015

Date

- (2) of 1954, to the extent of 7,000 shares, with the reporting person's purchase of 7,000 shares of Energous common stock at a price of \$7,000 shares of Energous, upon settlement of the sale, \$12,611, representing the full amount of the profit realized in connection with the short-swing transaction, less transaction costs.
- (3) Represents shares sold pursuant to a Sell-to-Cover Rule 10b5-1 Plan on April 7, 2015 to pay withholding taxes due in connection with the settlement of certain restricted stock unit awards on April 6, 2015.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$8.73 to \$8.95, inclusive. The reporting person undertakes to provide to Energous Corporation, any security holder of Energous Corporation, or

- (4) the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (4) to this Form 4.
- (5) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (6) Represents a restricted stock unit award granted on August 14, 2014 and partially earned and vested on November 13, 2014 and February 26, 2015 based on the satisfaction of certain performance-based vesting requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### **Reporting Owners**

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