OM GROUP INC

Form 4 February 18, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Check this box if no longer subject to ST

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 Expires: January 31,

2005

subject to Section 16. Form 4 or Form 5

HANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person **
Griffith Greg J

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Last)

(First)

OM GROUP INC [OMG]

3. Date of Earliest Transaction

(Check all applicable)

FLATS EAST BANK

JUE

(Middle)

(Zip)

____ Director ____ 10% Owner ____ Softicer (give title ____ Other (specify below)

BUILDING, 950 MAIN AVENUE, SUITE 1300

(Street)

(State)

4. If Amendment, Date Original

VP Strategic Plan,Dev.& InvRel

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

(Month/Day/Year)

03/07/2014

Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CLEVELAND, OH 44113

(City)

		1401			becar	reres rreq	un cu, Disposcu o	i, or beneficial	ij O wiled
1.Title of	2. Transaction Date		3.	4. Securi			5. Amount of	6. Ownership	
Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or D	ispose	d of (D)	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common	02/14/2015	(1)	E	002 (2)	Ъ	\$	44.062	D	
Stock	02/14/2015	<u>(1)</u>	F	983 (2)	D	29.78	44,062	D	
Common									
Common	02/14/2015	<u>(1)</u>	X	29	Α	(3)	44,091	D	
Stock		_					,		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Edgar Filing: OM GROUP INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	TransactionNumber		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivati Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Dividend Equivalent Rights	<u>(4)</u>	03/07/2014	<u>(1)</u>	A	6	<u>(4)</u>	<u>(4)</u>	Common Stock	6	\$ 0
Dividend Equivalent Rights	<u>(4)</u>	06/06/2014	<u>(1)</u>	A	7	<u>(4)</u>	<u>(4)</u>	Common Stock	7	\$ 0
Dividend Equivalent Rights	<u>(4)</u>	09/05/2014	<u>(1)</u>	A	8	<u>(4)</u>	<u>(4)</u>	Common Stock	8	\$ 0
Dividend Equivalent Rights	<u>(4)</u>	12/05/2014	<u>(1)</u>	A	8	<u>(4)</u>	<u>(4)</u>	Common Stock	8	\$ 0
Dividend Equivalent Rights	(3)	02/14/2015	<u>(1)</u>	X	29	<u>(1)</u>	<u>(1)</u>	Common Stock	29	<u>(3)</u>

Reporting Owners

Reporting Owner Name / Address				_	
	Director	10% Owner	Officer	Ot	ther

Griffith Greg J FLATS EAST BANK BUILDING 950 MAIN AVENUE, SUITE 1300 CLEVELAND, OH 44113

VP Strategic Plan, Dev. & InvRel

Relationships

Signatures

/s/ Greg J. Griffith, by Cipriano S. Beredo as attorney-in-fact 02/18/2015

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: OM GROUP INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This field is not applicable.
- This reflects the surrender of shares to OM Group, Inc. to pay taxes applicable to the vesting of restricted stock units in accordance with
- (2) the applicable long-term incentive plan previously approved by the stockholders of OM Group, Inc. and the related agreement previously approved by the Compensation Committee of the Board of Directors of OM Group, Inc.
- Settlement of dividend equivalent rights in connection with the vesting of restricted stock units. The rights accrued when and as dividends (3) were paid on OM Group, Inc. Common Stock and vested with the restricted stock units to which they are related. Each dividend equivalent right is the economic equivalent of one share of OM Group Common Stock.
- (4) The dividend equivalent rights accrued on restricted stock units and vest proportionately with the restricted stock units to which they relate. Each dividend equivalent right is the economic equivalent of one share of OM Group, Inc. Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.