KID BRANDS, INC

Form 4

February 06, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

See Instruction 1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * Horowitz Frederick J

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

KID BRANDS, INC [KID]

(Check all applicable)

C/O KID BRANDS, INC., ONE

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year) 02/05/2014

X_ Director 10% Owner Officer (give title Other (specify below)

MEADOWLANDS PLAZA, 8TH **FLOOR**

> (Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

EAST RUTHERFORD, NJ 07073

(State)

1.Title of Security	2. Transaction Date (Month/Day/Year)	
(Instr. 3)	(Month/Day/Tear)	any (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(D) Price

(A)

Code V Amount

Common

Stock. 02/05/2014 \$0.10 stated

9,146 (1)

\$0 24,146 (2) D

Common

value

Stock. \$0.10 stated value

11,538 I Horowitz Trust FBO Paul J. Horowitz 7/17/00 (3)

Frederick J.

by

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Common Stock, 11,538 Ι \$0.10 stated value

by Frederick J. Horowitz Trust FBO Anna E. Horowitz 12/17/96 (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Code	5. iorNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	7. Title Amount Underly Securiti (Instr. 3	ount of erlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title N	Number		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Horowitz Frederick J C/O KID BRANDS, INC. ONE MEADOWLANDS PLAZA, 8TH FLOOR EAST RUTHERFORD, NJ 07073

X

Signatures

/s/ Jodie Simon Friedman, Attorney 02/05/2014 in Fact

> **Signature of Reporting Person Date

2 Reporting Owners

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents common stock issued at fair market value under the Issuer's 2013 Equity Incentive Plan in lieu of a semi-annual retainer fee of \$7,500.
 - Includes: (i) 5,000 unvested restricted stock units ("RSUs") granted on July 10, 2013 pursuant to the Issuer's 2008 Equity Incentive Plan (the "2008 EIP"), which vest ratably over a five-year period commencing July 10, 2014; (ii) 4,000 unvested RSUs (out of an original
- (2) grant of 5,000 RSUs on August 14, 2012 pursuant to the 2008 EIP, which vest ratably over a five-year period commencing August 14, 2013); and (iii) 3,000 unvested RSUs (out of an original grant of 5,000 RSUs on July 19, 2011 pursuant to the 2008 EIP, which vest ratably over a five-year period commencing July 19, 2012).
- These shares are held in a trust for the benefit of the reporting person's son. Immediate family members of the reporting person are the trustees of the trust. The reporting person disclaims beneficial ownership of these securities, and the filing of this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- These shares are held in a trust for the benefit of the reporting person's daughter. Immediate family members of the reporting person are the trustees of the trust. The reporting person disclaims beneficial ownership of these securities, and the filing of this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.