

SVB FINANCIAL GROUP  
Form 4  
February 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wallace Bruce

(Last) (First) (Middle)

SVB FINANCIAL GROUP, 3005  
TASMAN DRIVE

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

SVB FINANCIAL GROUP [SIVB]

3. Date of Earliest Transaction (Month/Day/Year)

01/31/2014

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

Chief Operating Officer

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount or Price (A) or (D)                                                                    |                                                          |                                                       |
| Common Stock                    | 01/31/2014                           |                                                    | M                              |                                                                   | 1,500 A \$ 60.37                                                                              | 5,857                                                    | D                                                     |
| Common Stock                    | 01/31/2014                           |                                                    | M                              |                                                                   | 2,000 A \$ 64.37                                                                              | 7,857                                                    | D                                                     |
| Common Stock                    | 01/31/2014                           |                                                    | S                              |                                                                   | 1,500 D \$ 112.178 (1)                                                                        | 6,357                                                    | D                                                     |
| Common Stock                    | 01/31/2014                           |                                                    | S                              |                                                                   | 2,000 D \$ 112.149 (2)                                                                        | 4,357                                                    | D                                                     |
|                                 |                                      |                                                    |                                |                                                                   |                                                                                               | 192                                                      | I                                                     |



## Edgar Filing: SVB FINANCIAL GROUP - Form 4

- (1) The 1,500 shares were sold through separate trades, with the sale prices ranging from \$112.16 to \$112.42 and at a weighted average sale price of \$112.178.
- (2) The 2,000 shares were sold through separate trades, with the sale prices ranging from \$112.14 to \$112.16 and at a weighted average sale price of \$112.149.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.