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GALECTIN THERAPEUTICS INC Form 8-K June 15, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d)

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): June 14, 2018

GALECTIN THERAPEUTICS INC.

(Exact name of registrant as specified in its charter)

Nevada (State or Other Jurisdiction **001-31791** (Commission

04-3562325 (IRS Employer

of Incorporation) File Number) Identification No.) 4960 PEACHTREE INDUSTRIAL BOULEVARD, STE 240

Edgar Filing: GALECTIN THERAPEUTICS INC - Form 8-K NORCROSS, GA 30071

(Address of principal executive office) (zip code)

Registrant s telephone number, including area code: (678) 620-3186

N/A

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

SECTION 5 - CORPORATE GOVERNANCE AND MANAGEMENT

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(b) On June 12, 2018, Galectin Therapeutics Inc. (the Company) announced that Peter Traber, MD resigned as President and Chief Executive Officer of the Company effective July 6, 2018 and will be replaced in those roles by Harold H. Shlevin Ph.D. The Company and Dr. Traber subsequently agreed to accelerate the resignations effective June 14, 2018.

Dr. Traber will remain an employee of the Company through July 6, 2018.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, Galectin Therapeutics Inc. has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 15, 2018

Galectin Therapeutics Inc.

By: /s/ Jack W. Callicutt
Jack W. Callicutt
Chief Financial Officer