SunCoke Energy, Inc. Form 8-K October 20, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): October 20, 2016

## SUNCOKE ENERGY, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-35243 90-0640593 (State of (Commission (IRS Employer

Incorporation) File Number) Identification No.)

1011 Warrenville Road, Suite 600

## Edgar Filing: SunCoke Energy, Inc. - Form 8-K

# Lisle, Illinois 60532 (Address of principal executive offices) (Zip code) Registrant s telephone number, including area code: (630) 824-1000

#### **Not Applicable**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02. Results of Operations and Financial Condition.

On October 20, 2016, SunCoke Energy, Inc. (the *Company*) issued a press release announcing its financial results for the third quarter of 2016. A copy of this press release is attached as Exhibit 99.1 and is incorporated herein by reference.

#### Item 7.01. Regulation FD Disclosure.

As noted above, on October 20, 2016, the Company issued a press release announcing its financial results for the third quarter of 2016. Additional information concerning the Company s financial results for the third quarter of 2016 will be presented in a slide presentation to investors during a previously announced teleconference on October 20, 2016. A copy of the slide presentation is attached as Exhibit 99.2 and is incorporated herein by reference.

The information in this report, being furnished pursuant to Items 2.02, 7.01 and 9.01 of Form 8-K, shall not be deemed to be filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the *Exchange Act*), or otherwise subject to the liabilities of that Section, and is not incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such filing.

#### Safe Harbor Statement

Statements contained in the exhibit to this report that state the Company's or management's expectations or predictions of the future are forward-looking statements intended to be covered by the safe harbor provisions of the Securities Act of 1933 and the Securities Exchange Act of 1934. The Company's actual results could differ materially from those projected in such forward-looking statements. Factors that could affect those results include those mentioned in the documents that the Company has filed with the Securities and Exchange Commission.

# Item 9.01 Financial Statements and Exhibits.

# (d) Exhibits

# Exhibit

No.	Description
99.1	SunCoke Energy, Inc. Press Release, announcing earnings (October 20, 2016).
99.2	SunCoke Energy, Inc. Slide Presentation regarding earnings (October 20, 2016).

## **SIGNATURES**

Pursuant to the requirements of the Exchange Act, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## SUNCOKE ENERGY, INC.

By: /s/ Fay West
Fay West
Senior Vice President and
Chief Financial Officer

Date: October 20, 2016

# EXHIBIT INDEX

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