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TRI-CONTINENTAL CORP

Form NSAR-A

August 29, 2016

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000 A000000 06/30/2016
000 C000000 0000099614
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
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001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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012 B000001 84-05685
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013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
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013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
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014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 A000005 BANCO NACIONAL DE MEXICO, S.A.
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015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 C010007 WARSAW
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 A000025 DEUTSCHE BANK AG
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015 C010038 DOHA
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015 D010059 CROATIA
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015 A000060 REPUBLIC BANK LIMITED
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015 C010062 MADRID

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015 D010062 SPAIN
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015 E040065 X
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015 D010069 NAMIBIA
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015 A000070 STANDARD CHARTERED BANK
015 B000070 S
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015 D010070 JORDAN
015 E040070 X
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015 D010071 BANGLADESH
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PAGE 9
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015 A000074 STANDARD CHARTERED BANK BOTSWANA LIMITED
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015 A000082 SWEDBANK AS
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015 A000084 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
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015 C010085 TAGUIG CITY
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015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 COLOMBO
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015 A000087 UBS Switzerland AG
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015 D010087 SWITZERLAND
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020 A000002 GOLDMAN, SACHS & CO.
020 B000002 13-5108880
020 C000002 76
020 A000003 BARCLAYS CAPITAL

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020 B000003 13-3297824
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020 A000004 MORGAN STANLEY
020 B000004 13-2655998
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020 A000005 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000005 13-5659485
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020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016
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020 A000007 UBS SECURITIES LLC
020 B000007 13-3873456
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020 A000008 DEUTSCHE BANK SECURITIES INC.
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020 A000009 COWEN & CO., LLC
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072CC	020000		0
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072EE	000000		0
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074	D000000	336074
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074	F000000	926884
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074	K000000	0
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074	R040000	1357
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074	W000000	0.0000
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077	I000000	N
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077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
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077	Q030000	N
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084	B000000	0
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086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
PAGE 19
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 Y
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 22, 2016

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Martha A. Skinner

Martha A. Skinner
Assistant Treasurer
Witness

TRI-CONTINENTAL CORPORATION