NOMURA HOLDINGS INC Form 6-K June 14, 2016 Table of Contents

#### FORM 6-K

#### U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**Report of Foreign Private Issuer** 

Pursuant to Rule 13a-16 or 15d-16 of

the Securities Exchange Act of 1934

**Commission File Number: 1-15270** 

For the month of June 2016

NOMURA HOLDINGS, INC.

(Translation of registrant s name into English)

9-1, Nihonbashi 1-chome

Chuo-ku, Tokyo 103-8645

Japan

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.
Form 20-F <u>X</u> Form 40-F
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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Information furnished on this form:

#### **EXHIBIT**

# Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from May 1, 2016 to May 31, 2016

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### NOMURA HOLDINGS, INC.

Date: June 14, 2016 By: /s/ Hajime Ikeda

Hajime Ikeda

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from May 1, 2016 to May 31, 2016 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on June 14, 2016]
Class of Shares: Common Stock

#### Class of Shares. Common Stock

## 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ( Board )

		a	s of May 31, 2016 Total
	Numbe	r of Shares	Repurchase Amount (JPY)
Authorization pursuant to the resolution of the Board (April 27, 2016)	rvanioc	i oi silares	Amount (31-1)
(Period of repurchase: from May 18, 2016 to July 22, 2016)		35,000,000	20,000,000,000
Repurchases during this reporting month (Date of repurchase)	(Date)		
	May 18	2,561,000	1,185,618,670
	May 19	2,561,000	1,204,094,260
	May 20	2,561,000	1,184,353,470
	May 23	2,590,300	1,204,915,070
	May 24	2,590,300	1,218,866,910
	May 25	2,590,300	1,241,373,120
	May 26	2,590,300	1,231,841,190
	May 27	2,590,300	1,217,067,580
	May 30	2,301,700	1,080,590,830
	May 31	2,301,700	1,097,458,590
Total		25,237,900	11,866,179,690

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Aggregate shares repurchased as of the end of this reporting month	25,237,900	11,866,179,690
Progress of share repurchase (%)	72.1	59.3

### 2. Status of disposition

as of May 31, 2016

Number of shares disposed

Aggregate amount of

in the reporting month disposition (JPY)

Treasury shares disposed subject to procedures for issuance of new shares (Date)

Subtotal

Treasury shares canceled (Date)

Subtotal

Treasury shares transferred upon merger, share exchange or demerger (Date)

Subtotal

Other (upon request for purchasing less-than-a-full-unit shares ) (Date)

Subtotal

as of May 31, 2016

	Number of shares disposed		
	Number of shares disposed		Aggregate amount of
	in the reporting month		disposition (JPY)
Other (exercise of stock acquisition rights)	(Date)	J	•
	May 2	4,435,000	5,925,000
	May 6	2,070,600	2,070,600
	May 9	352,700	352,700
	May 10	172,400	172,400
	May 11	90,600	90,600
	May 12	105,300	402,300
	May 13	207,500	207,500
	May 16	294,600	294,600
	May 17	56,800	56,800
	May 18	86,900	86,900
	May 19	69,400	69,400
	May 20	186,000	186,000
	May 23	297,100	297,100
	May 24	80,600	80,600
	May 25	53,000	53,000
	May 26	54,700	54,700
	May 27	170,600	170,600
	May 30	173,000	173,000
	May 31	142,100	142,100
Subtotal		9,098,900	10,885,900
Total		9,098,900	10,885,900

# 3. Status of shares held in treasury

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	as of May 31, 2016
As of the end of the reporting month	Number of Shares
Total number of issued shares	3,822,562,601
Number of shares held in treasury	226,070,241