

NICHOLAS FINANCIAL INC  
Form 8-K/A  
February 01, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, DC 20549**

**FORM 8-K/A**  
**Amendment No. 1**

**CURRENT REPORT**  
**PURSUANT TO SECTION 13 OR 15(d)**  
**OF THE SECURITIES EXCHANGE ACT OF 1934**  
**Date of Report (Date of earliest event reported) February 1, 2016**

**NICHOLAS FINANCIAL, INC.**  
**(Exact name of registrant as specified in its Charter)**

**British Columbia, Canada**  
**(State or Other Jurisdiction of**  
**Incorporation or Organization)**

**0-26680**  
**(Commission**  
**File Number)**

**8736-3354**  
**(I.R.S. Employer**  
**Identification No.)**

**2454 McMullen Booth Road, Building C**

**Clearwater, Florida**  
**(Address of Principal Executive Offices)**  
**(727) 726-0763**

**33759**  
**(Zip Code)**

**(Registrant's telephone number, Including area code)**

**Not applicable**

**(Former name, former address and former fiscal year, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

### **Explanatory Note**

This Amendment No. 1 on Form 8-K/A filed by Nicholas Financial is being filed to correct an error in the Press Release furnished as Exhibit 99.1 to the Current Report on Form 8-K dated January 28, 2016. Specifically, there was a typographical error in the Portfolio Summary table, the data in the Nine Months ended December 31, 2015 and 2014 were incorrect for these periods presented. These errors appeared in the Press Release furnished as Exhibit 99.1 to the Form 8-K, but it did not appear in the press release that was issued over Globe Newswire on January 28, 2016. The erroneous information has been corrected in the Press Release furnished as Exhibit 99.1 to this Amendment. Other than including the corrections to Exhibit 99.1, no other modifications have been made to the original filing.

### **Item 2.02 Results of Operations and Financial Condition**

**January 28, 2016** Clearwater, Florida - Nicholas Financial, Inc. (NASDAQ: NICK) announced that for the three months ended December 31, 2015, per share diluted net earnings increased 17% to \$0.35 as compared to \$0.30 for the three months ended December 31, 2014. Net earnings were \$2,727,000 and \$3,769,000 for the three months ended December 31, 2015 and 2014, respectively. Revenue increased 4% to \$22,757,000 for the three months ended December 31, 2015 as compared to \$21,800,000 for the three months ended December 31, 2014.

### **Item 9.01 Financial Statements and Exhibits**

| <b>Exhibit #</b> | <b>Description</b> |
|------------------|--------------------|
|------------------|--------------------|

|      |                                       |
|------|---------------------------------------|
| 99.1 | Press release dated January 28, 2016. |
|------|---------------------------------------|

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, hereunto duly authorized.

**NICHOLAS FINANCIAL, INC.**

(Registrant)

Date: February 1, 2016

/s/ Ralph T. Finkenbrink  
Ralph T. Finkenbrink  
Chairman, President, Chief Executive Officer  
(Principal Executive Officer)

Date: February 1, 2016

/s/ Katie L. MacGillivray  
Katie L. MacGillivray  
Vice President, Chief Financial Officer  
(Principal Financial Officer and Accounting Officer)

**Exhibit Index**

| <b>Exhibit</b> | <b>Description</b>                    |
|----------------|---------------------------------------|
| 99.1           | Press release dated January 28, 2016. |