

HENNESSY ADVISORS INC  
Form 8-K  
August 05, 2010

**SECURITIES AND EXCHANGE COMMISSION**

**UNITED STATES**

Washington, DC 20549

**FORM 8-K**

**CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported) August 5, 2010

**HENNESSY ADVISORS, INC.**

(Exact name of registrant as specified in its charter)

**California**  
(State or other jurisdiction

of incorporation)

**000-49872**  
Commission

File Number)

**68-0176227**  
(IRS Employer

Identification No.)

Edgar Filing: HENNESSY ADVISORS INC - Form 8-K

**7250 Redwood Blvd., Suite 200**

**Novato, California**  
(Address of principal executive offices)

**94945**  
(Zip Code)

**Registrant's telephone number including area code: (415) 899-1555**

**Not Applicable**

**(Former name or former address, if changed since last report)**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events

The Board of Directors of Hennessy Advisors, Inc. has approved a stock repurchase program, pursuant to which the company is authorized to purchase up to 1,000,000 shares of its common stock. The stock repurchase program is subject to the company having available cash to fund repurchases. Under the program, management is authorized to purchase shares from time to time through open market purchases or privately negotiated transactions at prevailing prices as permitted by securities laws and other legal requirements, and subject to market conditions and other factors. A copy of the press release announcing the stock repurchase program is being furnished as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated into this Form 8-K by reference.

Item 9.01 Financial Statements and Exhibits

(c) Exhibits

Exhibit 99.1 Stock repurchase announcement issued August 5, 2010, by Hennessy Advisors, Inc.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HENNESSY ADVISORS, INC.

August 5, 2010

By: /s/ Neil J. Hennessy  
Neil J. Hennessy  
President

3

EXHIBIT INDEX

Exhibit 99.1 Stock repurchase announcement issued August 5, 2010, by Hennessy Advisors, Inc.

4