Edgar Filing: AROTECH CORP - Form 4

A DOTECH CODI

| Form 4 February 09, 20 | | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|----------------------------------------------|------------------------------------------------------|------------------|---------|-----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------|--|
| FORM Check this b | 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | Number: 3235-0287 | |
| if no longer subject to Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b). | STATEM Filed purs e. Section 17(a | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section | | | | | | | burden hou response | Estimated average burden hours per response 0.5 | |
| (Print or Type Res | ponses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Paup Thomas J | | | 2. Issuer Name and Ticker or Trading Symbol | | | | Ş | 5. Relationship of Reporting Person(s) to Issuer | | | |
| a | | | AROTECH CORP [ARTX] | | | | | (Check all applicable) | | | |
| (Last) (First) (Middle) 4716 LOHR ROAD | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/04/2016 | | | | | Director 10% Owner XOfficer (give title Other (specify below) below) Senior VP - Finance and CFO | | | |
| | | | | Amendment, Date Original (Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| ANN ARBOR | , MI 46108 | | | | | | | | More than One Re | | |
| (City) | (State) (| Zip) | Table | I - Non-De | erivative S | ecurit | ies Aco | uired, Disposed o | f, or Beneficial | lly Owned | |
| | 2. Transaction Date Month/Day/Year) | Executio any | med n Date, if Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit onAcquired Disposed (Instr. 3, - | (A) or of (D) | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | | | | Code V | Amount | | Price | (Instr. 3 and 4) 300,426 (1) | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------|----------------------------------------------------------------------------------------------------------------|---------------------|--------------------|---------------------------------------------------------------------|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units (2) | \$ 0 | 02/04/2016 | | А | 60,000 (<u>3)</u> | 12/31/2016 | 02/04/2026 | Common Stock | 60,000 (3) |

Reporting Owners

| Reporting Owner Name / Addro | ess | Relationships | | | | | | |
|--------------------------------------------------------|------------|---------------|-----------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Paup Thomas J 4716 LOHR ROAD ANN ARBOR, MI 46108 | | | Senior VP - Finance and CFO | | | | | |
| Signatures | | | | | | | | |
| /s/ Thomas J. Paup | 02/09/2016 | | | | | | | |
| **Signature of | Date | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Does not include 20,000 restricted stock units that were earned but not yet issued as of December 31, 2015.
- (2) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (3) Restricted stock units, vesting one-third contingent on continued employment on December 31, 2016 and two-thirds contingent on continued employment on December 31, 2016 and on the achievement of future performance criteria.
- (4) Of these restricted stock units, 40,000 vest on the basis of future performance criteria. Includes 20,000 restricted stock units that were earned but not yet issued as of December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person