Edgar Filing: MADDOX ALBERT O - Form 4/A

MADDOX A Form 4/A	LBERT O										
July 11, 2012	4 UNITED S	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040								PPROVAL 3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti <i>See</i> Instru- 1(b).	Filed purs S. Filed purs Section 17(a									Number: January 31 Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type R	esponses)										
MADDOX ALBERT O Sy BI			2. Issuer Name and Ticker or Trading Symbol BROADWAY FINANCIAL CORP \DE\ [BYFC]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(3. Date of Earliest Transaction (Month/Day/Year) 07/02/2012					X_ Director10% Owner Officer (give titleOther (specify below)below)			
Filed(Mor 07/05/20				Amendment, Date Original (Month/Day/Year) 05/2012				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	LES, CA 90010							Person		porting	
(City)	(State) (2	Zip)	Table	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security2. Transaction Date2/(Month/Day/Year)Ex(Instr. 3)ar(Note: Security)(Note: Security)				3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			SecuritiesHBeneficially()OwnedIFollowing()Reported()	5. Ownership Form: Direct (D) or Indirect (I) Instr. 4)			
Common Stock (1)				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4) 15,894	D		
Common Stock Purchase	07/02/2012	07/02/2	2012	Р	19,231	A	\$ 1.3 (3)	19,231	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$6	01/21/2010	01/21/2010	A V	1,250	01/21/2010(2)	01/21/2020	Common Stock	1,250
Stock Option (Right to Buy)	\$4					01/21/2009(2)	01/21/2019	Common Stock	1,875

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
MADDOX ALBERT O 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010	Х					
Signatures						
\s\ Daniele C. Johnson, Attorney-as-in-fact	07/11/2012					

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are held in the Albert Maddox and Deloise Maddox trust.
- (2) This is a Stock Option Grant (Right to Buy) approved by the Board of Directors on January 21, 2009 and January 21, 2010. Fully vested upon granting.
- (3) On July 2, 2012, an investment of Capital was made in exchange for common stock. The shares are held in the name of "Albert O. Maddox and Dorothy Deloise Maddox as Trustees U/D/T dated February 19, 1991.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.