HARVEY J BRETT

Form 4

shares.

\$0.01 par

11/03/2011

November 07, 2011

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HARVEY J BRETT Issuer Symbol CONSOL Energy Inc [CNX] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _X__ Director 10% Owner Other (specify X_ Officer (give title 1000 CONSOL ENERGY DRIVE 11/03/2011 below) Chairman and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting CANONSBURG, PA 15317 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common

value per share					6.805	
Common shares, \$0.01 par value per share	11/03/2011	S	82,447	D	\$ 44.49 292,033 (2) D	

M

Common 73,000 I By 2010 shares, GRAT

120,000

374,480

D

Edgar Filing: HARVEY J BRETT - Form 4

\$0.01 par value per share									
Common shares, \$0.01 par value per share						12,904	I	By Revocab Trust (3)	le
Common shares, \$0.01 par value per share						2,000	I	By Spouse's Revocab Trust (4)	
Common shares, \$0.01 par value per share						9,481 <u>(5)</u>	I	By Trust for Daughter	
Common shares, \$0.01 par value per share						9,481 ⁽⁵⁾	I	By Trust for Daughter	
Common shares, \$0.01 par value per share						9,481 ⁽⁵⁾	I	By Trust for Son	
Reminder: R	Report on a sep	parate line for each cla	ss of securities benefi	Persor inform require	ns who respond lation contained led to respond u ys a currently v	d to the colle d in this form unless the fo	n are not rm	SEC 1474 (9-02)	
			ative Securities Acqu puts, calls, warrants,				ì		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate		d Amount of g Securities nd 4)
						Date Evercisable	Expiration	Title	Amount or Number of

Code V (A)

(D)

Shares

Exercisable Date

Edgar Filing: HARVEY J BRETT - Form 4

Stock							Common	
							shares,	
Option	\$ 6.805	11/03/2011	M	120,000	(6)	09/10/2012	\$0.01 par	120,000
(right to							value per	
buy)							share	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

HARVEY J BRETT

1000 CONSOL ENERGY DRIVE X Chairman and CEO

CANONSBURG, PA 15317

Signatures

/s/ J. Brett Harvey by Stephanie Gill, his attorney-in-fact 11/07/2011

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the weighted average sales price of multiple sales transactions ranging in price from \$44.40 to \$44.60. The reporting person (1) agrees to provide full information regarding the number of shares sold at each separate price upon request by the SEC Commission staff, the Issuer or a security holder of the Issuer.
- (2) Of the 292,033 shares owned directly, 48,268 are restricted stock units and deferred shares (including dividend equivalent rights).
- (3) Shares held in Mr. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as trustees.
- (4) Shares held in Mrs. Harvey's Amended and Restated Revocable Trust, dated December 17, 2007, in which Mr. and Mrs. Harvey serve as trustees.
- (5) The reporting person disclaims beneficial ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for the purposes of Section 16 or for any other purpose.
- (6) Stock option grant provides that options vest 25% per year beginning on September 10, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3