Edgar Filing: DAVIDSON ROBERT C JR - Form 5

DAVIDSON ROBERT C JR
Form 5
February 09, 2010
FORM 5

FORM 5				OMB AF	PPROVAL			
	OMB Number:	3235-0362						
Check this box if no longer subject		Expires:	January 31, 2005					
to Section 16. Form 4 or Form 5 obligations may continue.	ANNUAL ST	Estimated a burden hour response	average rs per					
See Instruction1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or SectionReported30(h) of the Investment Company Act of 1940TransactionsReported								
1. Name and Address DAVIDSON ROP	of Reporting Person <u>*</u> BERT C JR	2. Issuer Name and Ticker or Trading Symbol BROADWAY FINANCIAL CORP \DE\ [BYFC]	5. Relationship of I Issuer (Check	Reporting Pers	.,			
(Last) (F	irst) (Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2009	X_ Director Officer (give t below)		Owner er (specify			
4800 WILSHIRE	BOULEVARD							
(S	treet)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi	nt/Group Repo	orting			

LOS ANGELES, CAÂ 90010

X Form Filed by One Reporting Person _ Form Filed by More than One Reporting Person

(check applicable line)

(City)	(State) (2	Zip) Table	e I - Non-Deri	vative See	curitie	es Acqu	ired, Disposed o	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Secur Acquired Disposed (Instr. 3, Amount	d (A) of d of (E , 4 and (A) or))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	<u>(1)</u>	Â	Â	Â	356	D	Â
Common Stock	Â	Â	<u>(2)</u>	Â	Â	Â	804	D	Â
Common Stock	Â	Â	<u>(3)</u>	Â	Â	Â	5,000	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Numb Derivati Securitia Acquired or Dispo of (D) (Instr. 3, and 5)	ve es d (A) osed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securiti
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Share
Stock Option (Right to Buy)	\$4	01/21/2009	01/21/2009	A	1,875	Â	01/21/2009(5)	01/21/2019	Common Stock	1,87
Stock Option (Right to Buy)	\$ 10.49	Â	Â	Â	Â	Â	03/19/2008(4)	03/19/2013	Common Stock	1,42

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DAVIDSON ROBERT C JR 4800 WILSHIRE BOULEVARD LOS ANGELES, CA 90010	ÂX	Â	Â	Â		
Signatures						
\s\ Daniele C. Johnson,		02/04/20	210			

Attorney-as-in-fact <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held in a Trust with Robert C. Davidson, Jr. as Trustee.
- (2) Held directly in the name of Robert C. Davidson, Jr.
- (3) Held in a street name account of Robert C. Davidson, Jr.

On March 19, 2003 the Board of Directors granted 1,784 shares of Stock Options under the Stock Option Plan for Outside Directors. The stock price on that day was \$10.4900 per share. The shares will vest in five (5) equal annual installments each year beginning the

(4) stock piece on that day was \$10.4900 per share. The shares will vest in rive (3) equal annual instantients each year beginning the following year, on March 19. The options are fully exercisable on March 19, 2008 but if not exercised, expire on March 19, 2013 or upon termination of directorship. There are 1428 shares remaining to exercise.

(5)

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The Board of Directors granted this Stock Option on January 21, 2009 and will renew each year on January 21 or until the Board discontinues the annual grant. This is a stock option grant that vests upon granting.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.