Edgar Filing: AFFELDT KATHLEEN J - Form 3

AFFELDT KATHLEEN J

Form 3

November 12, 2009

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

AFFELDT KATHLEEN J

(Last) (First) (Middle) Statement

(Month/Day/Year)

11/10/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NCI BUILDING SYSTEMS INC [NCS]

4. Relationship of Reporting

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O NCI BUILDING SYSTEMS, INC., 10943 NORTH SAM HOUSTON PARKWAY WEST

(Street)

Person(s) to Issuer

10% Owner _X_ Director Officer Other (give title below) (specify below)

(Check all applicable)

HOUSTON, TXÂ 77064

Filing(Check Applicable Line)

X Form filed by One Reporting

6. Individual or Joint/Group

Person

Form filed by More than One

Reporting Person

(City) (State) (Zip)

1. Title of Security (Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1473 (7-02)

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of Price of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Derivative

Edgar Filing: AFFELDT KATHLEEN J - Form 3

ÂΧ

Date Expiration Title Exercisable Date

Amount or Security Number of Shares Direct (D) or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Â

Â

Â

AFFELDT KATHLEEN J C/O NCI BUILDING SYSTEMS, INC. 10943 NORTH SAM HOUSTON PARKWAY WEST HOUSTON, TXÂ 77064

Signatures

Kathleen J. Affeldt 11/12/2009

**Signature of Date
Reporting Person

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2