**DEAN FOODS CO** Form 4 October 12, 2007

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number: January 31,

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BERNON ALAN J Issuer Symbol DEAN FOODS CO [DF] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Other (specify Officer (give title 2515 MCKINNEY AVENUE, 10/12/2007 below) **SUITE 1200** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DALLAS, TX 75201 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

							• .		·
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired	l (A) o	r	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D	)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					( )		Reported		
					(A)		Transaction(s)		
			G 1 17		or	ъ.	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common Stock	10/12/2007		A	175	A	$\frac{\$ 0}{(1)}$	1,168,642	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of S
Non-Qualified Stock Option (right to buy-T0000354)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	75.
Non-Qualified Stock Option (right to buy-DV000254)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	35,
Non-Qualified Stock Option (right to buy-T0000616)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	13,
Non-Qualified Stock Option (right to buy-DV000259)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	6,4
Non-Qualified Stock Option (right to buy-T0000355)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	50,
Non-Qualified Stock Option (right to buy-DV000257)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	23,
Non-Qualified Stock Option (right to buy-T0000604)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	9,:
Non-Qualified Stock Option (right to buy-DV000264)	\$ 11.6934					01/14/2003(2)	01/14/2012	Common Stock	4,
	\$ 14.2466					01/06/2004(2)	01/06/2013		78

Non-Qualified Stock Option (right to buy-DF002200)				Common Stock	
Non-Qualified Stock Option (right to buy-DV000256)	\$ 14.2466	01/06/2004(2)	01/06/2013	Common Stock	36,
Non-Qualified Stock Option (right to buy-T0000606)	\$ 14.2466	01/06/2004(2)	01/06/2013	Common Stock	14.
Non-Qualified Stock Option (right to buy-DV000260)	\$ 14.2466	01/06/2004(2)	01/06/2013	Common Stock	6,
Non-Qualified Stock Option (right to buy-TU000143	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	1,
Non-Qualified Stock Option (right to buy-DV000261)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	5
Non-Qualified Stock Option (right to buy-TU000144)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	1
Non-Qualified Stock Option (right to buy-DV000262)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	Ç
Non-Qualified Stock Option (right to buy-DF003299)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	36,
Non-Qualified Stock Option (right to buy-DV000253)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	17.
Non-Qualified Stock Option (right to buy-T0000769)	\$ 17.9107	01/13/2005(2)	01/13/2014	Common Stock	6,
	\$ 17.9107	01/13/2005(2)	01/13/2014		3,

Non-Qualified Stock Option (right to buy-DV000258)				Common Stock	
Non-Qualified Stock Option (right to buy-DF902107)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	23.
Non-Qualified Stock Option (right to buy-DV000249)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	10.
Non-Qualified Stock Option (right to buy-TU000145)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	3
Non-Qualified Stock Option (right to buy-DV000252)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	1
Non-Qualified Stock Option (right to buy-T0000797)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	4,1
Non-Qualified Stock Option (right to buy-DV000255)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	2,
Incentive Stock Option (right to buy-DF902106)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	3,
Incentive Stock Option (right to buy-DV004565)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	1,4
Incentive Stock Option (right to buy-T0001361)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	3
Incentive Stock Option (right to buy-DV004566)	\$ 18.3014	01/10/2006(2)	01/10/2015	Common Stock	1

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 4

Director 10% Owner Officer Other

BERNON ALAN J 2515 MCKINNEY AVENUE, SUITE 1200 X DALLAS, TX 75201

# **Signatures**

Alan J. Bernon 10/12/2007

\*\*Signature of
Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These are restricted shares issued under the Dean Foods Company 2007 Stock Incentive Plan in payment of fees owed for services as an outside director. All such shares are subject to vesting in three increments, with the first vesting occurring as of the date the shares were issued.
- (2) The shares of common stock subject to the Option became fully vested on September 28, 2007, pursuant to a separation agreement between Mr. Bernon and the Issuer.

### **Remarks:**

FIRST OF TWO (2) FORM 4s FILED ON SAME DATE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 5