## Edgar Filing: Hoerenz Christoph - Form 4

Hoerenz Chris Form 4 June 29, 2018	-									
FORM	Л							-	PPROVAL	
-	UNITED	STATES		RITIES A shington			COMMISSION	N OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	er <b>STATEN</b> 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section 17(	a) of the l	Public U	Itility Hol	ding Cor		nge Act of 1934, of 1935 or Section 940	on		
(Print or Type Re	esponses)									
1. Name and Address of Reporting Person <u>*</u> Hoerenz Christoph			2. Issuer Name <b>and</b> Ticker or Trading Symbol TRANS WORLD ENTERTAINMENT CORP [TWMC]				5. Relationship of Reporting Person(s) to Issuer			
							(Check all applicable)			
(Last)		(Middle) 3. Date of Earliest Transaction (Month/Day/Year)				XOfficer (give titleOther (specify below) below) Chief Digital and Mktg Officer				
38 CORPOR	ATE CIRCLE		06/27/2018							
			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
ALBANY, N	VY 12203						Person	More than One R	eporting	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
	2. Transaction Date Month/Day/Year)	2A. Deema Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Repo	ort on a separate line	e for each cl	ass of sec	urities bene	ficially ow	ned directly o	or indirectly.			
					Perso inforn requir	ns who rest nation cont red to response a current	pond to the colle ained in this form and unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab					posed of, or convertible s	Beneficially Owned securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		/Year)	(Instr. 3 and	4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy) (1)	\$ 0.98	06/27/2018		A	100,000	(2)	06/27/2028	Common Stock, par value \$0.01 per share	100,000

## **Reporting Owners**

<b>Reporting Owner Name / Addre</b>	PSS	Relationships					
	Director	10% Owner	Officer	Other			
Hoerenz Christoph 38 CORPORATE CIRCLE ALBANY, NY 12203			Chief Digital and Mktg Officer				
Signatures							
/s/ Christoph	06/29/2018						

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<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All of the equity transactions listed above are pursuant to equity plans qualified under Rule 16b-3 and exempt from Section 16(b) of the Securities Exchange Act.
- (2) Stock option grants vest ratably over four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.