**ABIOMED INC** Form 4 August 10, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* Howley Michael G

Symbol

(Middle)

2. Issuer Name and Ticker or Trading

ABIOMED INC [ABMD] 3. Date of Earliest Transaction

(Month/Day/Year) 08/08/2016

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

VP, Global Sales & Marketing

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DANVERS, MA 01923

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securitic TransactionDisposed of Code (Instr. 3, 4 (Instr. 8)		of (D) 4 and 3  (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, \$.01 par value	08/08/2016		Code V  M(5)	Amount 10,000 (5)	(D)	Price \$ 10.03	65,558	D		
Common Stock, \$.01 par value	08/08/2016		S <u>(5)</u>	10,802 (5)	D	\$ 118.9321 (6)	54,756	D		
Common Stock, \$.01 par value	08/08/2016		S(5)	7,051 (5)	D	\$ 119.6035 (7)	47,705	D		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of tionDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy) (1)	\$ 10.03	08/08/2016		M <u>(5)</u>		10,000 (5)	06/03/2011(2)	06/03/2020	Common Stock	10,000
Stock Option (Right to buy) (1)	\$ 22.44						05/22/2013(2)	05/22/2022	Common Stock	0
Stock Option (Right to Buy) (1)	\$ 23.15						05/14/2014(2)	05/14/2023	Common Stock	0
Stock Option (Right to Buy) (1)	\$ 21.55						05/14/2015(3)	05/14/2024	Common Stock	0
Stock Option (Right to Buy) (1)	\$ 66.25						05/13/2016(3)	05/13/2025	Common Stock	0
Stock Option (Right to Buy) (4)	\$ 99.62						05/24/2017(3)	05/24/2026	Common Stock	0

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Howley Michael G C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923

VP, Global Sales & Marketing

## **Signatures**

/s/ Stephen C. McEvoy (by power of attorney)

08/10/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of common stock set forth on Table II, Column 7, under the Abiomed, Inc. 2008 Stock Incentive Plan.
- (2) These options become exerciseable in annual 25% increments, commencing on the date shown forth in Table II, Column 6.
- (3) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (4) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2015 Omnibus Incentive Plan.
- (5) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
  - This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (6) \$118.3500 and \$119.3300. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer
  - This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between
- (7) \$119.3600 and \$120.0000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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