Edgar Filing: TRANS WORLD ENTERTAINMENT CORP - Form 5

TRANS WORLD ENTERTAINMENT CORP

Form 5

February 26, 2016 **FORM 5**

| Check this | RITIES AND EXCHANGE COMMISSION shington, D.C. 20549 | | | | | number: | 3235-0362 January 31, | | | | | |
|---|---|---|---|---|---|------------------|---|--|---|-------------------------------------|--|--|
| no longer subject to Section 16. Form 4 or Form ANNUAL STATEME | | | | | | Expires: | 2005 | | | | | |
| | | | NT OF CHANGES IN BENEFICIAL RSHIP OF SECURITIES | | | | Estimated average burden hours per response 1 | | | | | |
| 1(b). | Filed purs ddings Section 17(a |) of the Pu | ıblic Ut | | g Compa | ny A | ct of 1 | | n | | | |
| MORONE JOSEPH G Symbol | | | | · | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| ENTER | | | | NS WORLD ERTAINMENT CORP MC] | | | | (Check all applicable) X Director 10% Owner | | | | |
| (Last) | (First) (M | (| 6. Stateme Month/Da 01/30/20 | Fiscal Yea | r Ende | ed - | Officer (give pelow) | er (specify | | | | |
| 38 CORPOR | ATE CIRCLE | | 71750720 | ,10 | | | | | | | | |
| | | | | endment, Date Original onth/Day/Year) | | | 6. Individual or Joint/Group Reporting | | | | | |
| | | | | | | | | (chec | k applicable line) | | | |
| ALBANY,Â | NYÂ 12203 | | | | | | - | _X_ Form Filed by Form Filed by N | | | | |
| | | | | | | | ī | Person | viole than One Re | porting | | |
| (City) | (State) | Zip) | Table | e I - Non-Deriv | vative Sec | urities | Acqu | ired, Disposed of | f, or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | | 6. Ownership Form: Direct (D) or Indirect (I) | Indirect Beneficial Ownership | | |
| | | | | | Amount | (A) or (D) | Price | of Issuer's Fiscal Year (Instr. 3 and 4) | (Instr. 4) | (Instr. 4) | | |
| Common stock, par value \$0.01 | Â | Â | | Â | Â | Â | Â | 24,088 | D | Â | | |
| | ort on a separate line to icially owned directly | | y. | contained in | this forr | n are | not re | llection of infor equired to resp lid OMB contro | ond unless | SEC 2270 (9-02) | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

OMB APPROVAL

Edgar Filing: TRANS WORLD ENTERTAINMENT CORP - Form 5

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|------------------|-----------------|---------|----------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | Number | Expiration D | ate | Amou | nt of | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ities | (Instr. 5) |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | |
| | Security | | | | Acquired | | | | | |
| | | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | | | | | | Amount | |
| | | | | | | Date | Evaluation | | or | |
| | | | | | | Exercisable | Expiration Date | Title | Number | |
| | | | | | | Exercisable Date | of | of | | |
| | | | | | (A) (D) | | | | Shares | |

of D So B

Is Fi

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| MORONE JOSEPH G 38 CORPORATE CIRCLE ALBANY, NY 12203 | ÂΧ | Â | Â | Â | | | |

Signatures

/s/Joseph
Morone

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2