

TCW STRATEGIC INCOME FUND INC  
 Form 4  
 November 02, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL  
 OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Rivelle Tad

2. Issuer Name and Ticker or Trading Symbol  
 TCW STRATEGIC INCOME FUND INC [TSI]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 01/29/2014

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Portfolio Manager

TCW STRATEGIC INCOME FUND, INC., 865 S. FIGUEROA STREET, STE. 1800

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_\_ Form filed by More than One Reporting Person

LOS ANGELES, CA 90017

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	01/29/2014		P	0	A \$ 0 <sup>(1)</sup>	98,939.7079	I Charles Schwab & Co Acct for Chung-Ling K Tuan (spouse)
Common Stock	10/26/2015		P	0	A \$ 0 <sup>(1)</sup>	107,849	I Charles Schwab & Co Acct for Chung-Ling

## Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

K Tuan  
(spouse)

Common Stock	09/28/2015	P	0	A	\$ 0 <sup>(2)</sup>	376,895	D
Common Stock	10/29/2015	P	200	A	\$ 5.2765	396,824	D
Common Stock	10/29/2015	P	100	A	\$ 5.277	396,924	D
Common Stock	10/29/2015	P	3,000	A	\$ 5.279	399,924	D
Common Stock	10/29/2015	P	12,600	A	\$ 5.28	412,524	D
Common Stock	10/29/2015	P	900	A	\$ 5.286	413,424	D
Common Stock	10/29/2015	P	800	A	\$ 5.2865	414,224	D
Common Stock	10/29/2015	P	3,300	A	\$ 5.29	417,524	D
Common Stock	10/29/2015	P	100	A	\$ 5.295	417,624	D
Common Stock	10/29/2015	P	100	A	\$ 5.2999	417,724	D
Common Stock	10/29/2015	P	4,800	A	\$ 5.3	422,524	D
Common stock	10/30/2015	P	8,400	A	\$ 5.269	430,924	D
Common Stock	10/30/2015	P	2,300	A	\$ 5.2699	433,224	D
Common Stock	10/30/2015	P	4,601	A	\$ 5.27	437,825	D
Common Stock	10/30/2015	P	3,009	A	\$ 5.28	440,834	D
Common Stock	10/30/2015	P	5,000	A	\$ 5.29	445,834	D
Common Stock	10/30/2015	P	1,700	A	\$ 5.3	447,534	D
Common Stock	10/30/2015	P	1,900	A	\$ 5.309	449,434	D
Common Stock	10/30/2015	P	6,400	A	\$ 5.31	455,834	D

Edgar Filing: TCW STRATEGIC INCOME FUND INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

Rivelle Tad  
TCW STRATEGIC INCOME FUND, INC.  
865 S. FIGUEROA STREET, STE. 1800  
LOS ANGELES, CA 90017

Portfolio Manager

## Signatures

Patrick Dennis for Tad Rivelle by POA dtd  
7/22/13

11/02/2015

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) No transaction occurred in Common Stock owned indirectly by Reporting Person
- (2) No transaction occurred in Common Stock owned directly by Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.