

GARRETT DONALD F
Form 4
March 21, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GARRETT DONALD F

2. Issuer Name and Ticker or Trading Symbol
ETHAN ALLEN INTERIORS INC
[ETH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
ETHAN ALLEN DRIVE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/19/2013

____ Director
____ Officer (give title below) 10% Owner
____ Other (specify below)
VP Case Goods Manufacturing

DANBURY, CT 06811

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-------|---|--|
| | | | Code | V | Amount | (D) | Price | | | |
| Stock Options (Right to Buy) | 03/19/2013 | | M | | 2,000 | A | \$ 29.55 | 3,500 | D | |
| Stock Options (Right to Buy) | 03/19/2013 | | S | | 2,000 | D | \$ 30.5495 | 1,500 | D | |
| Stock Options (Right to Buy) | 03/19/2013 | | M | | 2,000 | A | \$ 17.6 | 3,500 | D | |

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| | | | | | | | |
|------------------------------|------------|---|-------|---|------------|-------|---|
| Stock Options (Right to Buy) | 03/19/2013 | S | 2,000 | D | \$ 30.5135 | 1,500 | D |
| Stock Options (Right to Buy) | 03/19/2013 | M | 1,875 | A | \$ 11.74 | 3,375 | D |
| Stock Options (Right to Buy) | 03/19/2013 | S | 1,875 | D | \$ 30.51 | 1,500 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Stock Options (Right to Buy) | \$ 29.55 | 03/19/2013 | | M | 2,000 | 04/14/2004 04/14/2013 | Common Stock | 2,000 |
| Stock Options (Right to Buy) | \$ 17.6 | 03/19/2013 | | M | 2,000 | 11/05/2009 11/05/2018 | Common Stock | 2,000 |
| Stock Options (Right to Buy) | \$ 11.74 | 03/19/2013 | | M | 1,875 | 11/12/2010 11/12/2019 | Common Stock | 1,875 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|--------------------------------|
| | Director | 10% Owner | Officer | Other |
| GARRETT DONALD F ETHAN ALLEN DRIVE DANBURY, CT 06811 | | | | VP Case Goods Manufacturing |

Signatures

Donald F.
Garrett

03/21/2013

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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