XCEL ENERGY INC Form 4

October 02, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per response... 0.5

OMB APPROVAL

3235-0287

January 31,

OMB

Number:

Expires:

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

Stock

1. Name and Ad HIRSCHFEL	erson * 2. Issuer Symbol	2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
	XCEL E	XCEL ENERGY INC [XEL]				(Check all applicable)			
(Last)	(First) (M	iddle) 3. Date of	3. Date of Earliest Transaction						
		(Month/Da	ny/Year)				_X_ Director		6 Owner
5200 SMITH	09/28/20	09/28/2006				Officer (give below)	e title Oth below)	er (specify	
	4. If Amen	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
DENVER, C	O 80216	Filed(Mont	h/Day/Year)				Applicable Line) _X_ Form filed by Form filed by l Person	One Reporting Pe	
(City)	(State) (Z	Zip) Table	I - Non-Do	erivative S	ecurities	s Acqu	iired, Disposed o	of, or Beneficial	lly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securit	ties	5	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired	(A) or	5	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D)	I	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and 5)	I	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)
					(A)		Reported Fransaction(s)		
					or		Instr. 3 and 4)		
			Code V	Amount	(D) P	Price '	(mon. 5 and r)		
Common							1 5 505 05 (1)	Ъ	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

15,585.85 (1) D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: XCEL ENERGY INC - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exer	cisable and	7. Title and A	Amount of	8. Pr
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration D	Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Securities	(Month/Day/Year)		(Instr. 3 and 4)		Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)					(Inst
	Derivative		•		or Disposed of	•				·
	Security				(D)					
	,				(Instr. 3, 4,					
					and 5)					
						_				
				Code V	(A) (D)		Expiration	Title	Amount	
						Exercisable	Date		or	
									Number	
									of Shares	
Dhantam								Common		
Phantom	\$ 0 (2)	09/28/2006		A	940.72	(3)	(3)	Common	940.72	\$ 2
Stock	· · · · ·					_	_	Stock		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 8	Director	10% Owner	Officer Other				
HIRSCHFELD A BARRY							
5200 SMITH ROAD	X						
DENVER, CO 80216							

Signatures

Carol J. Peterson, Attorney-in-Fact for A. Barry Hirschfeld

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 175.79 shares of stock acquired pursuant to reinvestment of dividends.
- (2) Security converts to common stock on a one-for-one basis.
- (3) Shares of phantom stock are payable in shares of common stock following termination of reporting person's service. Partial shares are payable in cash.
- (4) Includes 512.17 shares of stock acquired pursuant to reinvestment of dividends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2