### Edgar Filing: Linthwaite Stephen Christopher - Form 4

Linthwaite Stephen Christopher Form 4

Common

Stock

02/20/2019

February 21,	2019										
FORM	4 UNITED	STATES					NGE C	OMMISSION	OMB AF OMB Number:	PROVAL 3235-0287	
Washington, D.C. 20349 Number.											
(Print or Type F	Responses)										
1. Name and A Linthwaite S	2. Issuer Name <b>and</b> Ticker or Trading Symbol FLUIDIGM CORP [FLDM]				ng	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle) C/O FLUIDIGM CORPORATION, 7000 SHORELINE COURT, SUITE 100			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>02/19/2019</li></ul>					Director 10% Owner X Officer (give title Other (specify below) President & CEO			
(Street) SOUTH SAN FRANCISCO, CA 94080			4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul>			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio: any		3. Transactio Code (Instr. 8) Code V	4. Securi n(A) or Di (Instr. 3, Amount	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	02/20/2019			M	4,750	A	\$ 0	148,290 <u>(1)</u>	D		
Common Stock	02/20/2019			М	7,812	А	\$ 0	156,102	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

F

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

151,458

D

\$

10.78

4,644 D

### Edgar Filing: Linthwaite Stephen Christopher - Form 4

# required to respond unless the form displays a currently valid OMB control number.

Date

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number orDerivative Securities A (A) or Disp (D) (Instr. 3, 4,	Acquired losed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	(2)	02/19/2019		А	110,112		(3)	(3)	Common Stock	110,11
Restricted Stock Units	(2)	02/20/2019		М		4,750	<u>(4)</u>	<u>(4)</u>	Common Stock	4,750
Restricted Stock Units	(2)	02/20/2019		М		7,812	(5)	(5)	Common Stock	7,812

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting o when runne / runness	Director	10% Owner	Officer	Other			
Linthwaite Stephen Christopher C/O FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 SOUTH SAN FRANCISCO, CA 94080			President & CEC	)			
Signatures							
/s/ Stephen Christopher Linthwaite by Nic Attorney-in-Fact	holas Kha	ndder,	02	2/21/2019			

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,235 shares purchased on November 30, 2018 under the Company's 2017 Employee Stock Purchase Plan.
- (2) Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.
- (3) 12/48th of the total number of shares underlying the Restricted Stock Units granted will vest on February 20, 2020, and 3/48th of the total number of shares underlying the Restricted Stock Units granted will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest

### Edgar Filing: Linthwaite Stephen Christopher - Form 4

date.

On February 13, 2017, the Reporting Person was granted 76,000 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2017, and 3/48th of the total number of shares underlying the Restricted St

(4) Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

On June 11, 2018, the Reporting Person was granted 125,000 Restricted Stock Units of which 3/48th of the total number of shares underlying the Restricted Stock Units granted vested on August 20, 2018, and 3/48th of the total number of shares underlying the

(5) Inderlying the Restricted Stock Onts granted vested on August 20, 2018, and 5/46th of the total number of shares underlying the Restricted Stock Units granted have vested and will vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider (as defined in the Company's 2011 Equity Incentive Plan) through the applicable vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.