

Edgar Filing: CONVERIUM HOLDING AG - Form 6-K

CONVERIUM HOLDING AG  
Form 6-K  
February 05, 2004

OMB APPROVAL  
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Form 6-K

SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934

For the month of January, 2004

CONVERIUM HOLDING AG

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(Translation of registrant's name into English)

Baarerstrasse 8  
CH-6300 Zug  
Switzerland

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(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports  
under cover Form 20-F or Form 40-F.

Form 20-F ☒ X

Form 40-F ☐

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Indicate by check mark whether the registrant by furnishing the information  
contained in this Form is also thereby furnishing the information to the  
Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes

No ☒ X

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If "Yes" is marked, indicate the file number assigned to the registrant in  
connection with Rule 12g3-2(b): 82- Not Applicable

SIGNATURES

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Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

CONVERIUM HOLDING AG

By: /s/ Dirk Lohmann  
Name: Dirk Lohmann  
Title: CEO

By: /s/ Christian Felderer  
Name: Christian Felderer  
Title: Group General Counsel

Date: February 5, 2004

Monthly disclosure of equity securities newly created from conditional capital

Issuer: Converium Holding AG  
Sec. ID no.: 1'299'771

ISIN: CH0012997711

In accordance with art. 3a of the Articles of Incorporation of Converium Holding Ltd and with para. 5.02 (conditional capital) of the checklist published as enclosure 1 of the „Reports required in order to maintain a listing" (Art. 64 - 75 KR), we inform about the creation of following share capital:

Newly created share capital

|                                       |                  |                |
|---------------------------------------|------------------|----------------|
| o As at end of January 2004           | No new shares    |                |
| o As at end of previous month         | 6'217 Shares     |                |
| Conditional capital                   | No. of shares    | CHF            |
| o Reserved for Employee Participation | 4'000'000 Shares | CHF 40'000'000 |
| o Of which total exercised by end of  |                  |                |

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January 2004

6'217 Shares

CHF

62'170

o Remainder

3'993'783 Shares

CHF 39'937'830

With best regards,

Converium Holding AG

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Barbara Barta  
Senior Legal Counsel

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Livia Gassner  
Legal Counsel