Edgar Filing: Younes Rudolph-Riad - Form 4

Younes Rud Form 4 April 26, 20	•											
FORM	4		~				~ ~ ~		OMB AF	PROVAL		
Washington, D.C. 20549								OMB Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 o	ger STATE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								: January 31, 2005 red average hours per se 0.5		
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(b). Form 5 obligations may continue. See Instruction 1(c) See Instruction 1(c) 1(
(Print or Type I	Responses)											
1. Name and Address of Reporting Person _ 2. Issuer Name and Ticker or Trading 5. Relationship of Issuer Younes Rudolph-Riad Symbol Issuer Artio Global Investors Inc. [ART] Cruck						Reporting Person(s) to						
(Last)	(First)	Middle				[1 11]	•]	(Chec	k all applicable)		
				te of Earliest Transaction th/Day/Year) 4/2012				Director 10% Owner Officer (give title Other (specify below) below) below) Head of International Equities				
(Street) 4. If Am			4. If Ame	nendment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Mo NEW YORK, NY 10017				Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
	K , N I 10017							Person				
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative Se	ecuriti	es Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A common stock	04/24/2012			М	600,000 (1) (2)	A	\$0	5,695,653	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	 5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5))		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (Ir
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
New Class A Units	(1) (2)	04/24/2012		М	6	500,000	(1)(2)	(1)(2)	Class A common stock	600,000	
Class B common stock	<u>(1)</u> <u>(2)</u>	04/24/2012		М	6	500,000	(1)(2)	(1)(2)	Class A common stock	600,000	

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Younes Rudolph-Riad C/O ARTIO GLOBAL INVESTORS 330 MADISON AVENUE NEW YORK, NY 10017	S INC.		Head of International Equities					
Signatures								
/s/ Rachel Braverman, as Agent	04/26/2012							

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the Exchange Agreement (defined below), Mr. Younes exchanged 600,000 New Class A Units for 600,000 shares of Class A common stock on April 24, 2012. In connection therewith, on April 24, 2012, Mr. Younes delivered 600,000 shares of Class B common stock for cancellation. The Issuer entered into an exchange agreement (the "Exchange Agreement") with Mr. Younes on September 29,

(1) 2009. Pursuant to the Exchange Agreement, as amended, Mr. Younes (and certain of his permitted transferees, including the Younes Family Trust) are permitted to exchange their new class A units (the "New Class A Units") in Artio Global Holdings LLC for shares of the Issuer's Class A common stock on a one-for-one basis (each such exchange, an "Exchange"), subject to customary conversion rate adjustments for stock splits, stock dividends and reclassifications and other similar transactions. (see note 2)

The New Class A Units represent membership interests in Artio Global Holdings LLC, a subsidiary of the Issuer. In connection with each

(2) Exchange, Mr. Younes must deliver, for cancellation, a number of shares of Class B common stock equal to the number of New Class A Units being Exchanged.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.