

ADAMS P. BRADLEY

Form 5

January 12, 2018

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
ADAMS P. BRADLEY

(Last) (First) (Middle)

11550 ASH STREET, SUITE 300

(Street)

LEAWOOD, KS 66211

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
TORTOISE POWER & ENERGY
INFRASTRUCTURE FUND INC
[TPZ]3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
11/30/20174. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
CEO & Principal Financial Officer

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	12/01/2016	Â	L	6.1 ⁽¹⁾ (2)	A \$ 1,119.01 21.52 (2)	I	By adult child living at home at time of transaction
Common Shares	01/03/2017	Â	L	5.82 (1) (2)	A \$ 1,119.01 22.69 (2)	I	By adult child living at home at

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									time of transaction
Common Shares	02/01/2017	Â	L	<u>5.79</u> (1) (2)	A	\$ 22.92	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	03/01/2017	Â	L	<u>5.88</u> (1) (2)	A	\$ 22.71	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	04/03/2017	Â	L	<u>6.07</u> (1) (2)	A	\$ 22.11	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	05/01/2017	Â	L	<u>6.05</u> (1) (2)	A	\$ 22.33	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	07/03/2017	Â	L	<u>6.46</u> (1) (2)	A	\$ 21.02	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	08/01/2017	Â	L	<u>6.41</u> (1) (2)	A	\$ 21.31	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	09/01/2017	Â	L	<u>6.78</u> (1) (2)	A	\$ 20.25	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	10/02/2017	Â	L	<u>6.49</u> (1) (2)	A	\$ 21.3	<u>1,119.01</u> (2)	I	By adult child living at home at time of transaction
Common Shares	11/01/2017	Â	L	<u>6.85</u> (1) (2)	A	\$ 20.28	<u>1,119.01</u> (2)	I	By adult child living at home at time of

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1,805.41
(3)

D

 $\hat{A}$ SEC 2270
(9-02)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Other Data Source Basis Of Estimate Is Fair (Instr. 6)
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(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Relationships

Director 10% Owner Officer Other

ADAMS P. BRADLEY
11550 ASH STREET
SUITE 300
LEAWOOD, KS 66211

 $\hat{A}$ $\hat{A}$ $\hat{A}$

CEO & Princ Financial Officer Â

 $\hat{A}$

P. Bradley
Adams 01/12/2018

 **Signature of
Reporting Person

Date _____

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Automatic reinvestment of distribution by broker not made at the TPZ dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.

(2) Transaction reported occurred while reporting person had a reportable beneficial interest, but subsequent to the fiscal year-end for which this filing is made, these shares are held by adult child no longer living at home and the reporting person no longer has a reportable beneficial interest and disclaims beneficial ownership.

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(3) Includes 119.93 shares acquired under the TPZ dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.