

MALVEY KENNETH P

Form 5

January 08, 2018

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
MALVEY KENNETH P

(Last) (First) (Middle)

11550 ASH STREET, SUITE 300

(Street)

LEAWOOD, KS 66211

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
TORTOISE POWER & ENERGY
INFRASTRUCTURE FUND INC
[TPZ]3. Statement of Issuer's Fiscal Year Ended
(Month/Day/Year)
11/30/20174. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)
Member of Investment Committee

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	07/03/2017	Â	L	Amount 5.148 (1) A Price \$ 21.02	891.866	D	Â
Common Shares	07/03/2017	Â	L	5.148 (1) A \$ 21.02	891.866	I	By spouse
Common Shares	07/03/2017	Â	L	0.687 (1) A \$ 21.02	118.916	I	By adult child not living at home;

									reporting person can trade on account for adult child's benefit
Common Shares	08/01/2017	Â	L	<u>5.107</u> (1)	A	\$ 21.31	891.866	D	Â
Common Shares	08/01/2017	Â	L	<u>5.107</u> (1)	A	\$ 21.31	891.866	I	By spouse
									By adult child not living at home; reporting person can trade on account for adult child's benefit
Common Shares	08/01/2017	Â	L	<u>0.681</u> (1)	A	\$ 21.31	118.916	I	
Common Shares	09/01/2017	Â	L	<u>5.405</u> (1)	A	\$ 20.25	891.866	D	Â
Common Shares	09/01/2017	Â	L	<u>5.405</u> (1)	A	\$ 20.25	891.866	I	By spouse
									By adult child not living at home; reporting person can trade on account for adult child's benefit
Common Shares	09/01/2017	Â	L	<u>0.721</u> (1)	A	\$ 20.25	118.916	I	
Common Shares	10/02/2017	Â	L	<u>5.17</u> (1)	A	\$ 21.3	891.866	D	Â
Common Shares	10/02/2017	Â	L	<u>5.17</u> (1)	A	\$ 21.3	891.866	I	By spouse
Common Shares	10/02/2017	Â	L	<u>0.69</u> (1)	A	\$ 21.3	118.916	I	By adult child not living at home; reporting

Common Shares	11/01/2017	Â	L	<u>5.462</u> ⁽¹⁾	A	\$ 20.28	891.866	D	Â
Common Shares	11/01/2017	Â	L	<u>5.462</u> ⁽¹⁾	A	\$ 20.28	891.866	I	By spouse
Common Shares	11/01/2017	Â	L	<u>0.728</u> ⁽¹⁾	A	\$ 20.28	118.916	I	By adult child not living at home; reporting person can trade on account for adult child's benefit

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of D S B O E I F (I
					(A) (D)	Date Exercisable	Expiration Date	Title or Number of Shares	

Reporting Owner Name / Address

Director 10% Owner Officer Other

MALVEY KENNETH P
11550 ASH STREET
SUITE 300
LEAWOOD, KS 66211

Â Â Â Member of Investment Committee

Signatures

Kenneth P. 01/08/2018
Malvey

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Automatic reinvestment of distribution by broker not made at the TPZ dividend reinvestment plan price. This transaction is being reported on Form 5 pursuant to Rule 16a-6.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.