#### Edgar Filing: PEOPLES BANCORP OF NORTH CAROLINA INC - Form 5

#### PEOPLES BANCORP OF NORTH CAROLINA INC

Form 5

February 14, 2014

# FORM 5 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL OMB Number: 3235-0362

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Expires: 2005
Estimated average burden hours per response... 1.0

January 31,

may continue. *See* Instruction 1(b).

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

Transactions Paparted

Common

Stock

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03/15/2013

Reporte	d										
	Address of Reporting JOSEPH F JR	Sy: PE	2. Issuer Name <b>and</b> Ticker or Trading Symbol PEOPLES BANCORP OF NORTH CAROLINA INC [PEBK]			Issue	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
(Last)	(First)	(M	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2013				<i>'</i>	below)	specify		
518 WEST	ΓC ST., P.O. B		12/31/2013				Exec Vice Pres and CAO				
	(Street)	4. ]	4. If Amendment, Date Original				6. Individual or Joint/Group Reporting				
	Filed(Month/Day/Year)						(check applicable line)				
NEWTON, NC 26858-0467  _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person Person											
(City)	(State)	(Zip)	Table I - Non-I	Derivative Se	curitie	s Acquired,	Disposed of, or	Beneficially	Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date any (Month/Day/Yo	Code	4. Securities Acquired (Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Properties			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	02/08/2013	Â	L	9.2925	A	\$ 10.6	7,969.4916	D	Â		
Common Stock	02/13/2013	Â	L	8.032	A	\$ 10.5858	7,977.5236	D	Â		
Common Stock	03/04/2013	Â	L	9.2441	A	\$ 10.98	7,986.7677	D	Â		

20.114

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Common Stock	03/20/2013	Â	L	15.4306	A	\$ 11.6	8,022.3123	D	Â
Common Stock	03/28/2013	Â	L	8.8028	A	\$ 11.36	8,031.1151	D	Â
Common Stock	05/03/2013	Â	L	17	A	\$ 11.8445	8,048.1151	D	Â
Common Stock	04/30/2013	Â	L	8.5159	A	\$ 11.78	8,056.631	D	Â
Common Stock	06/04/2013	Â	L	12.0969	A	\$ 12.4	8,068.7279	D	Â
Common Stock	06/19/2013	Â	L	9.3522	A	\$ 13.1499	8,078.0801	D	Â
Common Stock	06/20/2013	Â	L	3	A	\$ 13.2805	8,081.0801	D	Â
Common Stock	07/02/2013	Â	L	7.8725	A	\$ 12.85	8,088.9526	D	Â
Common Stock	07/31/2013	Â	L	7	A	\$ 13.9499	8,095.9526	D	Â
Common Stock	08/01/2013	Â	L	7	A	\$ 13.7699	8,102.9526	D	Â
Common Stock	08/05/2013	Â	L	7.1942	A	\$ 13.9	8,110.1468	D	Â
Common Stock	08/29/2013	Â	L	7	A	\$ 13.31	8,117.1468	D	Â
Common Stock	09/03/2013	Â	L	7.5473	A	\$ 13.25	8,124.6941	D	Â
Common Stock	09/19/2013	Â	L	9.6762	A	\$ 12.7396	8,134.3703	D	Â
Common Stock	10/01/2013	Â	L	8	A		8,142.3703		Â
Common Stock	10/25/2013	Â	L	7	A	\$ 12.9941	8,149.3703	D	Â
Common Stock	11/04/2013	Â	L	11.4943	A	\$ 13.05	8,160.8646	D	Â
Common Stock	11/04/2013	Â	L	15	A	\$ 13.0977	8,175.8646	D	Â
Common Stock	12/05/2013	Â	L	7.2098	A	\$ 13.87	8,183.0744	D	Â
Common Stock	12/03/2013	Â	L	7	A	\$ 14.9999	8,190.0744	D	Â
	12/16/2013	Â	L	8.9986	A	\$ 14	8,199.073	D	Â

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Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration		or Namel	
						Exercisable	e Date	Title Number		
					(A) (D)				of	
					(A) (D)				Shares	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<u>.</u>	Director	10% Owner	Officer	Other			
BEAMAN JOSEPH F JR							
518 WEST C ST.	â	Â	Exec Vice Pres and CAO	â			
P.O. BOX 467	A	А	A Exec vice Fies and CAO	A			
NEWTON, NC 26858-0467							

## **Signatures**

Joseph F.
Beaman, Jr.

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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