#### Edgar Filing: PEOPLES BANCORP OF NORTH CAROLINA INC - Form 5

#### PEOPLES BANCORP OF NORTH CAROLINA INC

Form 5

February 12, 2014

# FORM 5 UNITED STATES SECURITIES AND EVOLANCE COMMISSION OMB

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Check this box if no longer subject

Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported Sorm 4 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

Reported	a					
1. Name and Address of Reporting Person * CABLE WILLIAM D			2. Issuer Name <b>and</b> Ticker or Trading Symbol PEOPLES BANCORP OF NORTH CAROLINA INC [PEBK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) 518 WEST	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2013	Director 10% Owner Officer (give title Other (specify below) EVP and COO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting  (check applicable line)		
NEWTON	, NC 28658			_X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned		
1.Title of	2. Transaction Date	2A. Deemed	d 3. 4. Securities Acquired	(A) or 5. Amount of 6. 7. Nature		

(City)	(State)	(Zip) Ta	ble I - Non-Do	erivative Sec	curitie	s Acquired,	Disposed of, or B	Seneficially O	wned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/08/2013	Â	L	Amount 4.5755	(D)	Price \$ 10.6	24,877.4457	D	Â
Common Stock	02/13/2013	Â	L	174.194	A	\$ 10.5858	25,051.6397	D	Â
Common Stock	03/04/2013	Â	L	4.6903	A	\$ 10.98	25,056.33	D	Â
Common Stock	03/15/2013	Â	L	216.804	A	\$ 11.6506	25,273.134	D	Â
	03/20/2013	Â	L	16.9805	A	\$ 11.6	25,290.1145	D	Â

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Common Stock									
Common Stock	03/28/2013	Â	L	4.4014	A	\$ 11.36	25,294.5159	D	Â
Common Stock	05/03/2013	Â	L	2.4	A	\$ 11.8445	25,296.9159	D	Â
Common Stock	05/23/2013	Â	A(1)	3,410	A	\$ 12	28,706.9159	D	Â
Common Stock	04/30/2013	Â	L	4.258	A	\$ 11.78	28,711.1739	D	Â
Common Stock	06/04/2013	Â	L	6.0484	A	\$ 12.4	28,717.2223	D	Â
Common Stock	06/19/2013	Â	L	15.0116	A	\$ 13.15	28,732.2339	D	Â
Common Stock	09/03/2013	Â	L	3.7736	A	\$ 13.25	28,736.0075	D	Â
Common Stock	09/19/2013	Â	L	15.6033	A	\$ 12.74	28,751.6108	D	Â
Common Stock	10/01/2013	Â	L	4	A	\$ 12.5	28,755.6108	D	Â
Common Stock	11/04/2013	Â	L	5.7472	A	\$ 13.05	28,761.358	D	Â
Common Stock	12/05/2013	Â	L	3.6049	A	\$ 13.87	28,764.9629	D	Â
Common Stock	10/25/2013	Â	L	14	A	\$ 12.9941	28,778.9629	D	Â
Common Stock	11/04/2013	Â	L	9	A	\$ 13.0977	28,787.9629	D	Â
Common Stock	12/19/2013	Â	L	14.165	A	\$ 14	28,802.1279	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9.
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Date	Amount of	Derivative	of
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	D
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securities	(Instr. 5)	Se
	Derivative				Securities	<b>S</b>	(Instr. 3 and 4)		В
	Security				Acquired				Ο
					(A) or				Eı

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Disposed of (D) (Instr. 3, 4, and 5)

(A) (D) Date Expiration Title Amount Exercisable Date or Number

of Shares

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Transfer de la companya de la compan	Director	Director 10% Owner Officer		Other				
CABLE WILLIAM D 518 WEST C STREET NEWTON. NC 28658	Â	Â	EVP and COO	Â				

## **Signatures**

William D. Cable, Sr. 02/12/2014

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units granted 5-23-13, based on \$12.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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