Edgar Filing: DICKSON JOHN R D - Form 4

| DICKSON J | JOHN R D | | | | | | | | | | |
|---|---|--|---|--|--|---------|--------------------|--|--|---|--|
| Form 4 | | | | | | | | | | | |
| November 1 | 3, 2012 | | | | | | | | | | |
| FORM 4 LINITED STATES SECURITIES AND EXCHANCE COMMISSIO | | | | | | | | | OMB A | PPROVAL | |
| | UNITED | STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 | | | | | | OMMISSION | OMB Number: | 3235-0287 | |
| Check th | | | | | | | | | | January 31, 2005 | |
| if no lon subject t Section | SIAIE | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | | |
| Form 4 o Form 5 | Form 4 or | | | | ~ . | | | | response | 0.5 | |
| obligatic may con <i>See</i> Instr 1(b). | tinue. Section 17 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| | | | 2. Issuer Name and Ticker or Trading Symbol | | | | -6 | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | PULSE ELECTRONICS CORP [PULS] | | | | | (Check all applicable) | | | |
| | | | | e of Earliest Transaction h/Day/Year) | | | | Director 10% Owner X Officer (give title Other (specify below) below) | | | |
| CORPORA | E ELECTRONIC TION, 12220 W | | 11/13/2 | 012 | | | | · · · · · · · · · · · · · · · · · · · | VP, CIO AND | HR | |
| TRADE DE | XIVE | | | | | | | | | | |
| | | | | onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | |
| SAN DIEG | O, CA 92128 | | | | | | | Form filed by M Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-l | Derivative | Secur | ities Acqu | iired, Disposed of, | or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | 3. Transactio Code (Instr. 8) | 4. Securit ord Dispos (Instr. 3, 4 | ed of (| (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | (D) | Price \$ | (Instr. 3 and 4) | | | |
| Common Stock | 11/13/2012 | | | Р | 23,250 | А | Φ 0.4299 (1) | 54,848 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 5 | Date | Amou Under Secur | erlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|------------------------|--|---|---|
| Repo | rting O | wners | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

| Reporting Owner Name / Address | | Relationships | | | | | | |
|---|----------|---------------|-----------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| DICKSON JOHN R D C/O PULSE ELECTRONICS CORPORATION 12220 WORLD TRADE DRIVE SAN DIEGO, CA 92128 | | | SENIOR VP, CIO AND HR | | | | | |
| Signatures | | | | | | | | |

/s/ John Dickson 11/13/2012

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$0.42 to \$.43 per share. The reporting person undertakes to provide to Pulse Electronics Corporation, any security holder of Pulse

(1) 50.42 to \$3.45 per share. The reporting person undertakes to provide to 1 use Electronics Corporation, any security notice of 1 use Electronics Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased by him at each separate price on November 13, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.