

KING DAVID L
Form 4
June 20, 2012

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KING DAVID L

(Last) (First) (Middle)

225 FRANKLIN STREET

(Street)

BOSTON, MA 02110

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TRI-CONTINENTAL CORP [TY]

3. Date of Earliest Transaction
(Month/Day/Year)

06/18/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)

Portfolio Manager of Issuer

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Tri-Continental Corporation Common Stock	06/18/2012		P		2,501 A \$ 15.09	52,501	D
Tri-Continental Corporation Common Stock	06/18/2012		P		500 A \$ 15.1	53,001	D
Tri-Continental Corporation Common Stock	06/18/2012		P		500 A \$ 15.108	53,501	D
Tri-Continental Corporation	06/18/2012		P		900 A \$ 15.109	54,401	D

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Common Stock									
Tri-Continental Corporation Common Stock	06/18/2012	P	3,400	A	\$ 15.12	57,801	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	1,300	A	\$ 15.13	59,101	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	4,000	A	\$ 15.15	63,101	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	3,599	A	\$ 15.16	66,700	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	1,481	A	\$ 15.167	68,181	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	700	A	\$ 15.17	68,881	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	100	A	\$ 15.175	68,981	D		
Tri-Continental Corporation Common Stock	06/18/2012	P	1,019	A	\$ 15.18	70,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Report Transaction (Instr. 6)		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or

Number
of
Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KING DAVID L 225 FRANKLIN STREET BOSTON, MA 02110				Portfolio Manager of Issuer

Signatures

/s/ David King	06/20/2012
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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