Edgar Filing: RICHMOND JEFFREY L - Form 4

RICHMONE) JEFFREY L										
Form 4											
March 09, 20)12										
FORM			CECUD						r	PPROVAL	
	UNITED	SIAIES					NGE (COMMISSION	OND	3235-0287	
Check thi	is box		vv as	hington,	D.C. 20:	549			Number:	January 31,	
if no long		IENT O	Г СНА М	CES IN I	RENEFI	CIA		NEBSHID OF	Expires:	2005	
subject to STATEMENT OF CHANGES IN								Estimated average			
Section 1 Form 4 or				SECURITIES					burden hours per response 0.5		
Form 5		suant to S	Section 1	6(a) of the	e Securiti	ies E:	xchang	e Act of 1934,	response	0.5	
obligation	ns Section 170						•	f 1935 or Sectio	n		
may cont <i>See</i> Instru	inue.			vestment	•	- ·					
1(b).											
(Print or Type F	Responses)										
1 Nome and A	ddrass of Departing	Doncon *						5 Deletionship of	Donorting Don	aan(a) to	
DICIDIOND IEFEDEVI				Issuer Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			Symbol ASTEC INDUSTRIES INC [ASTE]								
(*))						CIA	.5112]	(Chec	ck all applicable	e)	
(Last)	(First) (N	Aiddle)		Earliest Tra	ansaction			Dimenter	100	0	
1725 SHEPI	HFRDRD		(Month/D 03/08/20	•				Director X_ Officer (give		b Owner er (specify	
1725 51121 1			03/08/20	512				below)	below)		
									sident-Roadtec		
(Street)			4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
			Filed(Mon	th/Day/Year)				Applicable Line) _X_ Form filed by	One Reporting Pa	erson	
CHATTAN	00GA, TN 3742	1						Form filed by M	Aore than One Re		
								Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ities Acq	uired, Disposed o	f, or Beneficial	lly Owned	
1.Title of	2. Transaction Date	e 2A. Dee	med	3.				5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)		on Date, if	Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)				Securities	Form: Direct		
(Instr. 3)		any (Month/	Day/Year)					Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
		(11101111)	2 aj, 1 cai)	(1115411-0)	(11541-0)	· uno	0)	Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported			
						or		Transaction(s) (Instr. 3 and 4)			
a				Code V	Amount	(D)	Price	(insu: 5 and 1)			
Common	03/08/2012			F	529 <u>(1)</u>	D	\$ 25 0	11,662 <u>(1)</u>	D		
Stock							35.9				
Common								1,471 <u>(3)</u>	D		
Stock								_,	_		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Title and A Underlying S		8. Pr Deriv
Security	or Exercise		any	Code	of	(Month/Day,		(Instr. 3 and		Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e				(Inst
	Derivative				Securities	•				
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>					(2)	(2)	Common Stock	1,100.0458	

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
RICHMOND JEFFREY L 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Roadtec					
Signatures								
Robert Taylor, attorney in fact Richmond	for Jeffre	y L.	03/09/2012					
<u>**</u> Signature of Reporting	Person		Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis. The reported transaction reflects 529 shares (1) of common stock withheld by the issuer to satisfy tax withholding obligations upon the vesting of 2,000 RSU's.
- Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec. (2)
- Amount includes 1,471 shares of common stock issued to the reporting person upon the vesting of RSUs, net of shares withheld to satisfy (3) tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.