## Edgar Filing: ALLEN A CLINTON - Form 4

ALLEN A CL Form 4	LINTON										
December 10,	2009										
FORM	4 UNITE	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section								Number: 3235-0287 Expires: January 31 Estimated average burden hours per response 0.5	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	r <b>STATI</b> Filed p Section 1								Estimated a burden hou response		
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> ALLEN A CLINTON			2. Issuer Name <b>and</b> Ticker or Trading Symbol COLLECTORS UNIVERSE INC [CLCT]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) A.C. ALLEN & CO., 710 SOUTH STREET			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>12/08/2009</li></ul>				X_ Director 10% Owner Officer (give title Other (specify below) below)				
	Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>						
NEEDHAM,	MA 02492							Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	of, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Executio any	emed	3. Transactic Code (Instr. 8) Code V	4. Securi onAcquired Disposed (Instr. 3,	ties l (A) o l of (D	r )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	12/08/2009			А	3,707 (1)	А	\$0	32,057	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addr	ess	Relationships						
	Director	10% Owner	Officer	Other				
ALLEN A CLINTON A.C. ALLEN & CO. 710 SOUTH STREET NEEDHAM, MA 02492	Х							
Signatures								
Christine Kakour	12/10/2009							
**Signature of	Date							

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- An outright grant of 3,707 restricted shares of common stock under the Company's 2006 Equity Incentive Plan, vesting in four (4) (1) cumulative installments of 927 shares on March 8, 2010; 927 shares on June 8, 2010 and 927 shares on September 8, 2010 and 926 shares
- on December 8, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person