

SHEINFELD IRA S
Form 4
May 01, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
SHEINFELD IRA S

2. Issuer Name **and** Ticker or Trading
Symbol
WMS INDUSTRIES INC /DE/
[WMS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

C/O WMS INDUSTRIES INC., 800
S. NORTHPOINT BLVD.

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(Street)

WAUKEGAN, IL 60085

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	04/29/2009		M		37,500	A	\$ 16.55	60,448 ⁽¹⁾	D
Common Stock	04/29/2009		S		37,500	D	\$ 32.8 ⁽²⁾	22,948 ⁽¹⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option	\$ 16.55	04/29/2009		M	37,500	09/18/2003 09/18/2013	Common Stock 37,500

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
SHEINFELD IRA S C/O WMS INDUSTRIES INC. 800 S. NORTHPOINT BLVD. WAUKEGAN, IL 60085	X

Signatures

/s/ Ira S.
Sheinfeld 04/30/2009

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes or consists of (i) 17,970 shares of restricted stock, for which restrictions will lapse as to (a) 3,668 shares 9/18/09; (b) 3,833 shares on 9/18/2009 and 9/18/2010; and (c) 6,636 shares when the reporting person ceases to be a director provided such reporting person gives 6 months notice of any resignation, except as otherwise agreed by the Board of Directors and (ii) 4,978 shares of Common Stock to be delivered pursuant to deferred stock units when the reporting person ceases to be a director.

(2) The details of these trades were as follows: 900 at \$32.50; 1,254 at \$32.51; 800 at \$32.52; 446 at \$32.53; 1,500 at \$32.54; 300 at \$32.55; 900 at \$32.56; 500 at \$32.57; 2,100 at \$32.58; 800 at \$32.59; 1,100 at \$32.60; 1,000 at \$32.61; 100 at \$32.62; 100 at \$32.65; 500 at \$32.66; 300 at \$32.68; 300 at \$32.69; 200 at \$32.72; 900 at \$32.73; 269 at \$32.74; 125 at \$32.75; 500 at \$32.76; 606 at \$32.77; 700 at \$32.78; 300 at \$32.79; 500 at \$32.80; 1,900 at \$32.81; 100 at \$32.82; 100 at \$32.825; 300 at \$32.84; 1,000 at \$32.85; 1,900 at \$32.86; 600 at \$32.87; 1,100 at \$32.88; 100 at \$32.89; 1,500 at \$32.90; 200 at \$32.91; 400 at \$32.92; 400 at \$32.94; 200 at \$32.96; 791 at \$33.00; 5,300 at \$33.01; 900 at \$33.02; 1,309 at \$33.03; 2,200 at \$33.04; 100 at \$33.05 and 100 at \$33.06.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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