#### ASTEC INDUSTRIES INC

Form 4 April 11, 2008

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1	Name and	Address	of Repor	ting	Person

**DORRIS RICHARD J** 

2. Issuer Name and Ticker or Trading Symbol

ASTEC INDUSTRIES INC [ASTE]

5. Relationship of Reporting Person(s) to

Issuer

3. Date of Earliest Transaction

(Month/Day/Year)

04/09/2008

Director 10% Owner

(Check all applicable)

President-Heatec

X\_ Officer (give title Other (specify below)

1725 SHEPHERD RD

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### CHATTANOOGA, TN 37421

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, if any	3. Transac Code
		(Month/Day/Year)	(Instr. 8

(Zip)

4. Securities actionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4) Price

Common Stock

 $2,475 \frac{(3)}{}$ 

Common Stock

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations See Instruction

(Print or Type Responses)

1(b).

(Last)

(City)

(First)

(Street)

(Middle)

(State)

(A) Code V Amount (D)

3,221

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) Disposed of (Instr. 3, 4, a 5)	) or (D)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and A	Securities	8 I S (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	<u>(1)</u>						<u>(1)</u>	<u>(1)</u>	Common Stock	1,200	
Phantom Stock	<u>(2)</u>	04/09/2008		A	44.3162		(2)	(2)	Common Stock	44.3162	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

DORRIS RICHARD J 1725 SHEPHERD RD CHATTANOOGA, TN 37421

President-Heatec

# **Signatures**

Robert Taylor, attorney in fact for Richard Dorris

04/11/2008

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option prices and dates have been previously reported for stock options currently outstanding.
- (2) Reported transaction represents the Company contribution to the reporting person?s SERP account that was used to acquire Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person?s termination of employment from Astec.
- (3) Reported holdings are Restricted Stock Units that convert into common stock on a one-for-one basis at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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