

MILLER JAMES B JR

Form 4

December 28, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MILLER JAMES B JR

2. Issuer Name **and** Ticker or Trading  
Symbol  
FIDELITY SOUTHERN CORP  
[LION]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
3490 PIEDMONT ROAD, SUITE  
1550

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/26/2018

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify  
below)  
Chairman / Executive Officer

(Street)  
ATLANTA, GA 30305

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|----------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------|-------------------------------------------------------------------|
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 12/26/2018                              |                                                             | D <sup>(1)</sup>                        | 18,896 D                                                                | \$<br>25.38 2,807,600                                                                                              | D                                                                          |                                                                   |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 12/26/2018                              |                                                             | D <sup>(2)</sup>                        | 18,896 D                                                                | \$<br>25.38 2,788,704                                                                                              | D                                                                          |                                                                   |
|                                                          | 12/26/2018                              |                                                             | D <sup>(3)</sup>                        | 18,896 D                                                                | 2,769,808                                                                                                          | D                                                                          |                                                                   |

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|                                                          |              |   |  |                                            |
|----------------------------------------------------------|--------------|---|--|--------------------------------------------|
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | \$<br>25.38  |   |  |                                            |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 739.8072     | I |  | By 401(k)<br>(4) (5) (6)                   |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 13,150.9157  | I |  | By<br>Grandchild<br>- N.p.<br>Miller       |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 261,405.9486 | I |  | By Shares<br>Held By<br>Ltd<br>Partnership |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 247,671.74   | I |  | By Trust -<br>Family                       |
| Fidelity<br>Southern<br>Corporation<br>- Common<br>Stock | 113,083.4248 | I |  | By Trust -<br>Spouse<br>Estate             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|

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(Instr. 3,  
4, and 5)

| Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |
|------|---|-----|-----|---------------------|--------------------|-------|----------------------------------------|
|------|---|-----|-----|---------------------|--------------------|-------|----------------------------------------|

## Reporting Owners

| Reporting Owner Name / Address                                             | Relationships |           |          |                   |
|----------------------------------------------------------------------------|---------------|-----------|----------|-------------------|
|                                                                            | Director      | 10% Owner | Officer  | Other             |
| MILLER JAMES B JR<br>3490 PIEDMONT ROAD<br>SUITE 1550<br>ATLANTA, GA 30305 | X             | X         | Chairman | Executive Officer |

## Signatures

Elna Klein-Kolarich, Attorney in Fact for James B.  
Miller, Jr. 12/28/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock award date 1/21/2016 - accelerated

(2) Restricted stock award grant 6/15/17 - accelerated Original vesting date 6/15/19

(3) Restricted stock award grant 6/15/17 - accelerated Original vesting date 6/15/20

(4) Not Active Footnote - 8/26/04

(5) Purchased 2200.4284 shares of Fidelity Southern Corporation stock in the 401(k) Plan at various times and prices during 2004.

(6) Purchased 3,254 shares through Fidelity Southern Corporation 401(k) Plan @ various times and prices during 2003

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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