WALKER R A Form 4

November 16, 2017

#### **OMB APPROVAL**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WALKER R A

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

ANADARKO PETROLEUM CORP

[APC]

Issuer

below)

(Check all applicable)

Chairman, President & CEO

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director X\_ Officer (give title

10% Owner Other (specify

ANADARKO PETROLEUM CORPORATION, 1201 LAKE

**ROBBINS DRIVE** 

6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original Filed(Month/Day/Year)

(Month/Day/Year)

11/14/2017

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Ι

THE WOODLANDS, TX 77380

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if

2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

Indirect Beneficial Ownership (Instr. 4)

(A)

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common Stock

199,517

108,000

Common Stock

By LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Restricted Stock Units	(1)	11/14/2017		A	57,753	(2)	(2)	Common Stock	57,753
Non Qualified Stock Option (Right to Buy)	\$ 48.05	11/14/2017		A	185,166	(3)	11/14/2024	Non Qualified Stock Option (Right to Buy)	185,16

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
r	Director	10% Owner	Officer	Other			
WALKER R A							
ANADARKO PETROLEUM CORPORATION	X		Chairman Brasidant & CEO				
1201 LAKE ROBBINS DRIVE	Λ		Chairman, President & CEO				
THE WOODLANDS, TX 77380							

# **Signatures**

/s/ Courtney H. Landry by power of atty. for R. A.
Walker

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of APC common stock.
- (2) The restricted stock units vest in three annual installments beginning one year after the date of grant.
- (3) The option is exercisable in three annual installments beginning one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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